

Cosmo17@paris

# Asymmetric Preheating

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# Why reheating ?

Inflation **explains** ...

Origin of the large-scale structure of the cosmos

Inflation **solves** ...

Horizon problem, Flatness problem, Monopole etc...

At the end of inflation  
the Universe is

Cold and Empty  
\*Vacuum Energy

What we see in our Universe is

Stars and Galaxies  
Big Bang (Hot) Universe

Reheating

# Why “p”reheating ?

Classical picture

Reheating was explained using Perturbative decay

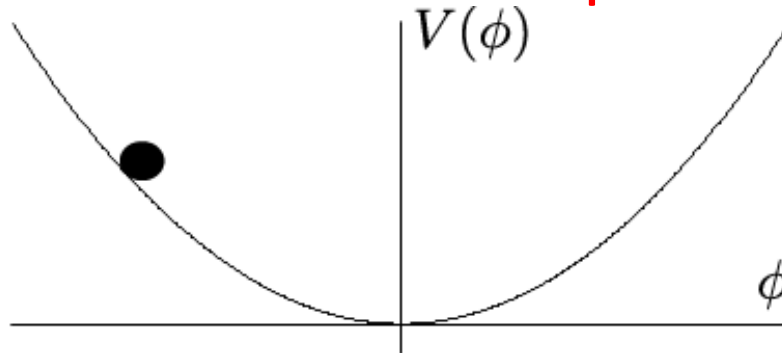
“Not enough to explain...”

Traschen and Brandenberger 1990

Dolgov and Kirilova 1990

Preheating\* Kofman, Linde, Starobinsky 1994

Non-perturbative production of matter  
on a classical time-dependent background



Describes the initial energy transfer

Highly non-thermal

“Thermalization after preheating”

is highly non-trivial  
and model-dependent

\*We avoid this argument

# Why Asymmetric Preheating?

To solve the Baryon number Asymmetry of the Universe (BAU)

In the past,

Preheating has been used to explain BAU, but *mostly*...

Sources of the asymmetry are “indirect”

1. Decay of a heavy particle
2. Phase transition after preheating
3. ....

Preheating itself  
does not generate  
asymmetry

What we want is a “direct” scenario.

Is it possible to **BIAS** the particle production?  
If possible, what is essential for the mechanism?

# We have tried two different ways...

Simple model, Bogoliubov eqs. are solved **analytically**

1. **Chemical potential**
2. Violation of CP in the **Initial** condition



Helps to understand the mechanism, but not enough to understand the whole story

“Phenomenological” CP violation usually has many fields.

“Phenomenological” model. Eqs. are solved **numerically**  
Analytical discussions are for **the eigenstates**

1. “Kaon”-like **Quantum** correction
2. Berry Phase-like “**Geometric**” correction

# Chemical potential

We start with the action for a **complex scalar field**

$$S_0 = \int d^4 x \sqrt{-g} [ \partial_\mu \hat{\phi}^* \partial^\mu \phi - m(t)^2 |\hat{\phi}|^2 + \xi R |\hat{\phi}|^2 ].$$

Using conformal time  $\eta$  and cosmological scale factor  $a$ ,  
rewrite the action

$$S_0 = \int d^4 x [ |\dot{\phi}|^2 - \omega(\eta)^2 |\phi|^2 ]$$

Time-dependent background  
(Inflaton oscillation)

$$\omega^2 \equiv a^2 m^2 + \left( -\Delta + \frac{\ddot{a}}{a} (6\xi - 1) \right)$$

(Effective) **Chemical potential** is introduced by

$$L_c = - \frac{\partial_\mu \varphi}{M_*} J^\mu.$$

$\dot{\phi} \neq 0$  by hand,  $\mu \equiv \frac{\dot{\phi}}{M_*}$

using the current

$$J^\mu \equiv -i(\phi \partial^\mu \phi^* - \phi^* \partial^\mu \phi)$$

# Chemical potential

Equation of motion  $\ddot{\phi} - 2i\mu \dot{\phi} + (\omega^2 - i\dot{\mu})\phi = 0$

Decompose  $\phi$  using ( $a^\dagger$  /matter,  $b^\dagger$  /antimatter creation)

$$\phi = \int \frac{d^3k}{(2\pi)^{3/2}} [h(\eta)a(k)e^{ikx} + g^*(\eta)b^\dagger(k)e^{-ikx}]$$

(Standard calculation)

$$h = \frac{e^{-i\int^\eta \omega d\eta'}}{\sqrt{2\omega}} A_h + \frac{e^{i\int^\eta \omega d\eta'}}{\sqrt{2\omega}} B_h,$$

\*also for  $\Pi \equiv \dot{\chi}$  and antimatter (g)

$$\alpha_h \equiv e^{-i\int^\eta \omega d\eta'} A_h$$

We find

matter

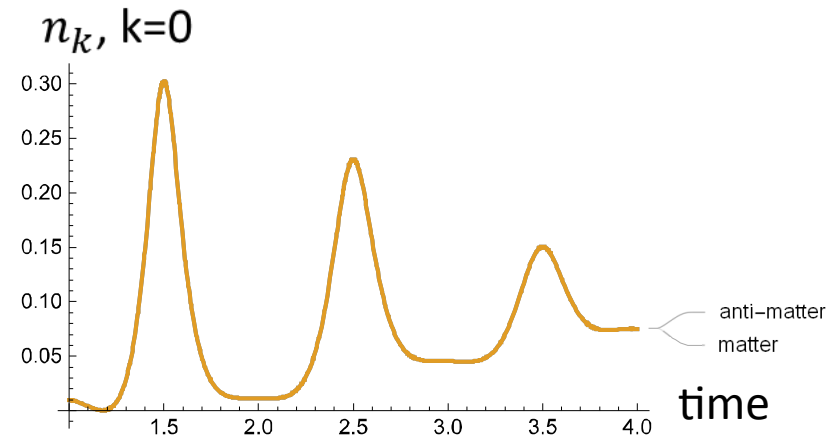
$$\begin{aligned} \dot{\alpha}_h &= -i(\omega - \mu)\alpha_h + \frac{\dot{\omega}}{2\omega}\beta_h \\ \dot{\beta}_h &= \frac{\dot{\omega}}{2\omega}\alpha_h + i(\omega + \mu)\beta_h \end{aligned}$$

antimatter

$$\begin{aligned} \dot{\alpha}_g &= -i(\omega \oplus \mu)\alpha_g + \frac{\dot{\omega}}{2\omega}\beta_g \\ \dot{\beta}_g &= \frac{\dot{\omega}}{2\omega}\alpha_g + i(\omega \ominus \mu)\beta_g \end{aligned}$$

Sign flips

**However**, in spite of the **difference** in the evolution equations, our numerical calculation shows the number densities are **identical**



Looking more closely, we find

$$\dot{n} \sim \frac{d}{dt} |\beta|^2 = \frac{\dot{\omega}}{\omega} |\alpha| |\beta| \cos(\theta_\alpha - \theta_\beta)$$

$$\alpha \equiv |\alpha| e^{i\theta_\alpha}$$

To make difference between matter and antimatter, this factor must be different... but we soon realized  **$\mu$  can be rotated away**. Therefore, phase factors must coincide.

This is a textbook issue.

“Any interaction that can be rotated away does not violate CP”

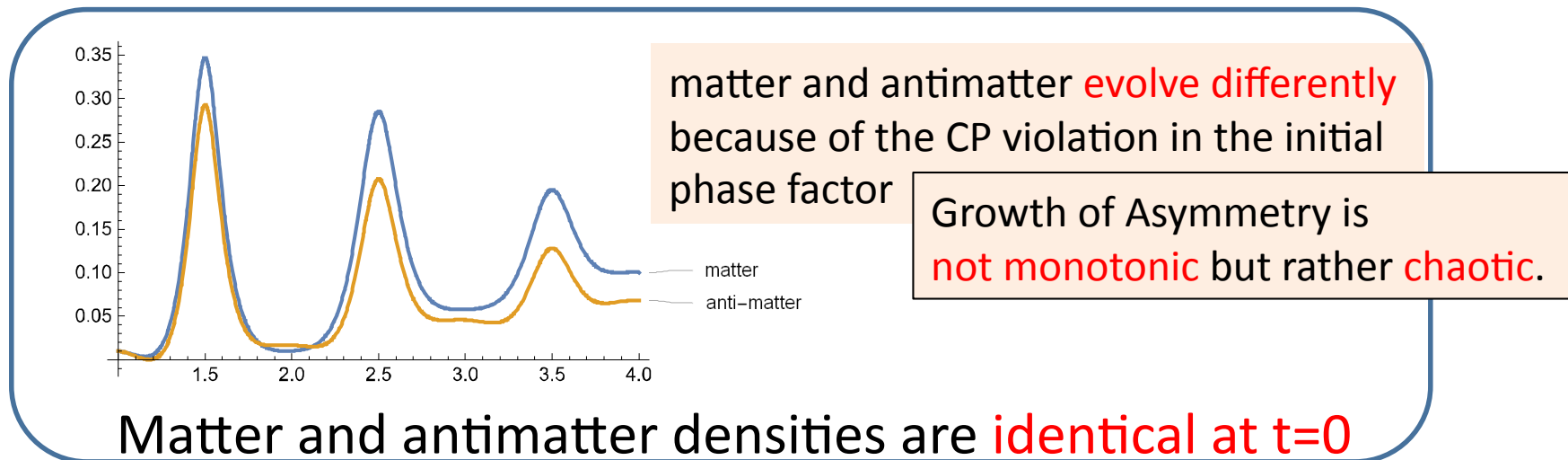
But, from this failure we learned something more





# CP violation in the Initial Condition

If something in high energy violates CP and shifted initial  $\cos(\theta_\alpha - \theta_\beta)$ , it may cause asymmetry production in low energy. In this case nothing is needed except for the initial condition.



**Merit** : CP violation is **not** needed in the effective action.

**Demerit** : CP violation in the initial condition is **speculative**.

\*AD baryogenesis does not consider preheating, and it uses the phase of a field staying at **far distance**. Our model uses the phase of a field sitting **at the minimum**.

Now we try to generate asymmetry with some “realistic” CP violation



# CP violating interaction (Why Kaon?)

Thinking about SM, matter-antimatter asymmetry is **far from simple**.  
Even in the (introductory) **Kaon model**, the story is complicated.

CP violating interaction  
Quantum corrections ) **Both** are needed for the asymmetry

Require **many** fields

Soon we realized that the model of  
**asymmetric preheating has to be as complicated as the SM.**

CP violation in the initial  
condition is an exception

The best way to realize the asymmetric preheating is to  
borrow the setup of a well-known (Kaon) model,  
since model-building is not essential for our purpose

So we start the 2<sup>nd</sup> part with “Preheating with Kaon”



# Introduction to Kaon model

Neutrally charged matter and antimatter are defined by

$$K^0 = d\bar{s}, \quad \bar{K}^0 = \bar{d}s$$

CP eigenstates are

$$K_1 = \frac{K^0 + \bar{K}^0}{\sqrt{2}} \text{ (CP even, +1)} \quad \text{and} \quad K_2 = \frac{K^0 - \bar{K}^0}{\sqrt{2}} \text{ (CP odd, -1)}$$

If these **were** the eigenstates of weak interaction, CP is conserved.

## Simple check

Consider the Schrödinger equation given by

$$i \frac{d}{dt} \psi_0 = H \psi_0, \quad \begin{pmatrix} H_{22} & H_{12} \\ H_{21} & H_{22} \end{pmatrix} = \begin{pmatrix} M & \Delta \\ \Delta^* & M \end{pmatrix} \quad \psi_0^t \equiv (K^0, \bar{K}^0)$$

If one chooses real  $\Delta$ , this gives the eigenstates  $K_1$  and  $K_2$ .  
Complex  $\Delta$  gives a phase factor (complex eigenvalue),  
yet **the eigenstates remain CP conserving**.

# CP violation in Kaon

For Kaon, CP violation is realized by **higher corrections**.

Essentially the source of CP violation is **anti-Hermitian Matrix  $i\Gamma$** ;

$$H \rightarrow H - i\Gamma$$

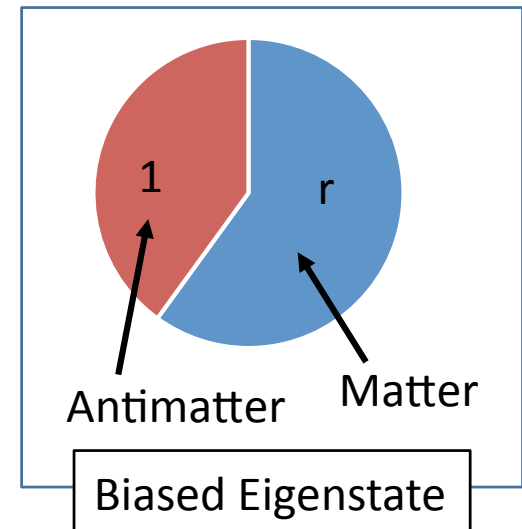
Because of  $i\Gamma$ , the eigenstates “**violate CP**”

## Simple check

Example 
$$\begin{pmatrix} H_{22} & H_{12} \\ H_{21} & H_{22} \end{pmatrix} = \begin{pmatrix} M & \Delta + i\Gamma \\ \Delta^* + i\Gamma^* & M \end{pmatrix}$$

gives “**Biased** ( $r \neq 1$ )” eigenvectors

$$\left( \pm \frac{r}{\sqrt{1+r^2}}, \frac{1}{\sqrt{1+r^2}} \right), \quad r \equiv \sqrt{\frac{\Delta + i\Gamma}{\Delta^* + i\Gamma^*}}$$



We have seen that in Kaon model

CP	Eigenstates
○	<b>1-1</b> mixed state of $K^0$ and $\bar{K}^0$ $(\pm 1, 1)$ or $(\pm e^{i\theta}, 1)$
×	<b>Biased</b> product of $K^0$ and $\bar{K}^0$ $\left( \pm \frac{r}{\sqrt{1+r^2}}, \frac{1}{\sqrt{1+r^2}} \right)$

Since the equations of motion are diagonalized (separated) for the eigenstates, what is generated during preheating is the eigenstates. Asymmetry **in the eigenstate** is the direct source of the matter-antimatter asymmetry.

If so,  $R \equiv \frac{n-\bar{n}}{n+\bar{n}}$  **has to be constant** during preheating

This fact is Distinguishable in numerical calculations!

## From the Kaon model we learned...

1. CP violation appears as the bias in the eigenstate.
2.  $R \equiv \frac{n-\bar{n}}{n+\bar{n}}$  does not depend on the details of preheating.

Surprising

Otherwise R depends on the preheating process and it has to grow (like ~~CP~~ in the initial condition)

One thing that is not clear is that the **bias may be a time-dependent parameter**. If CP violation depends on the background, R may also depend on time.

New Question

What happens if the **eigenstates “depend” on time?**



“Geometric” property of the quantum mechanics is the key

## The Nobel Prize in Physics 2016



Prize motivation:  
"for theoretical discoveries of  
topological phase transitions  
and **topological** phases of  
matter"

*Nobelprize.org*

The **geometric** phase (Pancharatnam–Berry phase) results from the geometrical properties of the parameter space of the Hamiltonian.

If you are familiar with string theory,  
“Parameter space of the Hamiltonian” -> Landscape

If the background (a parameter) is time dependent, or you are moving on the landscape of the parameter space, you will feel “geometrical changes”.

OK, geometric corrections could be important.

Geometric phase shift (Berry) may appear.

But how CP is violated by such correction?

## Nobel prize in Physics in 2008



Prize motivation for KM:  
"for the discovery of the origin  
of the broken symmetry which  
predicts the existence of at least  
**three families** of quarks in nature"

*Nobelprize.org*

“CP violation in the geometric correction”  
may appear when the matrix goes to  $3 \times 3$

If so, **the geometric phase may distinguish matter/antimatter**

Let us check this statement using a simple model



## 3x3 model (simplest)

$$L = |\partial_\mu \phi|^2 - m_\phi^2(t) |\phi|^2 + \frac{1}{2} (\partial_\mu \chi)^2 - \frac{1}{2} m_\chi^2 \chi^2 - \frac{1}{2} (\epsilon \phi^2 + h.c.) - (g \phi + h.c) \chi, \quad (\chi \text{ is real})$$

Oscillating background  
\* $m_\chi^2$  is constant

" $\Delta$ " in Kaon (real)


Complex parameter(new)

Equation of motion

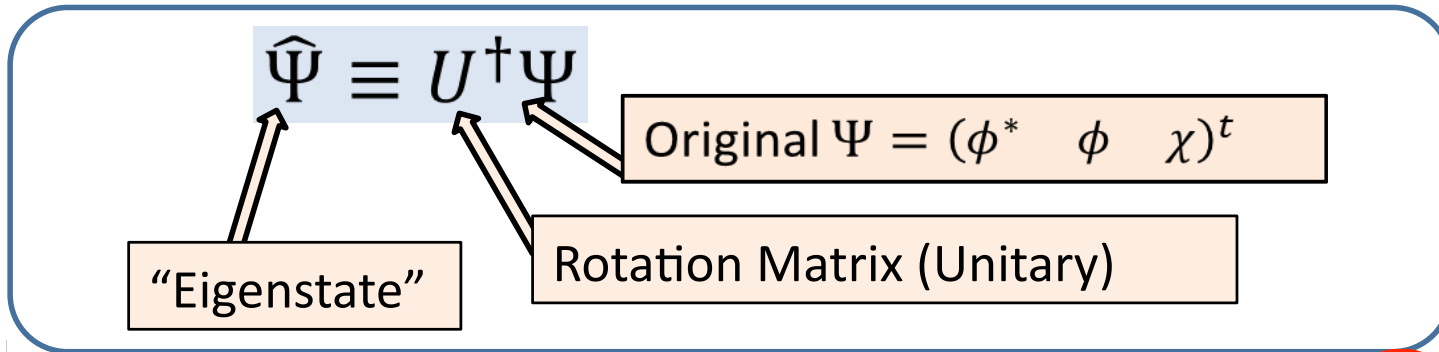
$$\ddot{\Psi} + \Omega^2 \Psi = 0$$

$$\Psi \equiv \begin{pmatrix} \phi \\ \phi^* \\ \chi \end{pmatrix} \text{ and } \Omega^2 \equiv \begin{pmatrix} \omega_\phi^2 & \epsilon & g \\ \epsilon & \omega_\phi^2 & g^* \\ g^* & g & \omega_\chi^2 \end{pmatrix}$$

If one (naively) diagonalizes  $\Omega^2 (\rightarrow \omega^2 \equiv U^\dagger \Omega U)$ , one will find...

1. "Eigenfunctions" are **symmetric** (1-1) for  $\phi$  and  $\phi^*$
2. No CP violation??
3. Something is **wrong with the kinetic terms** 

Kinetic terms are **not diagonal** when the time-dependent background causes **geometric** correction



Rewrite the kinetic term using  $\hat{\Psi} \sim (\partial_\mu \Psi)^2 = (\partial_\mu (U \hat{\Psi}))^2$

The **geometric correction** appears in the kinetic term

$$\ddot{\hat{\Psi}} + 2\dot{\gamma}\dot{\hat{\Psi}} + (\omega^2 + \dot{\gamma}^2 + \dot{\gamma})\hat{\Psi} = 0,$$

$$\omega^2 \equiv U^\dagger \Omega U$$

In the adiabatic limit,  $i\gamma \equiv iU^\dagger \dot{U}$  is the **Berry connection**  
(Easy to verify using the Schrödinger equation)

Although  $\Omega^2$  is diagonal for the "eigenstate"  $\hat{\Psi}$ , the kinetic terms are not diagonal.  $\hat{\Psi}$  is not the true eigenstate (\*unable to diagonalize)

$i\gamma \neq 0$  gives “geometric (berry) phase”.

This phase appears because  $m_\phi(t)$  (geometry) is changing.

$U$  have the CP phase (KM-phase), and so does  $\gamma$ .

Therefore the Berry phase distinguishes matter/antimatter.

\*Phase determines the evolution and the phase is shifted.

The shift is different between matter and antimatter

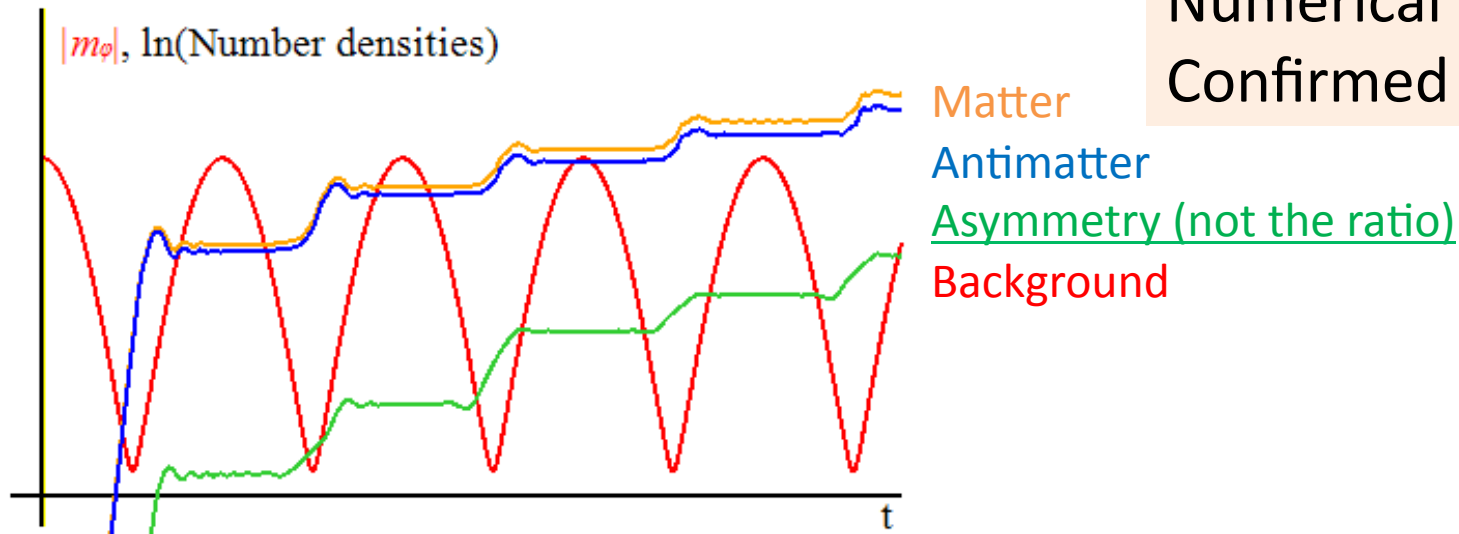
One of us (S.E) numerically solved particle production with  $\gamma \neq 0$   
The result precisely matches our expectation



Since the model is already complex,  
further study requires numerical calculation

# CP violation from the geometry

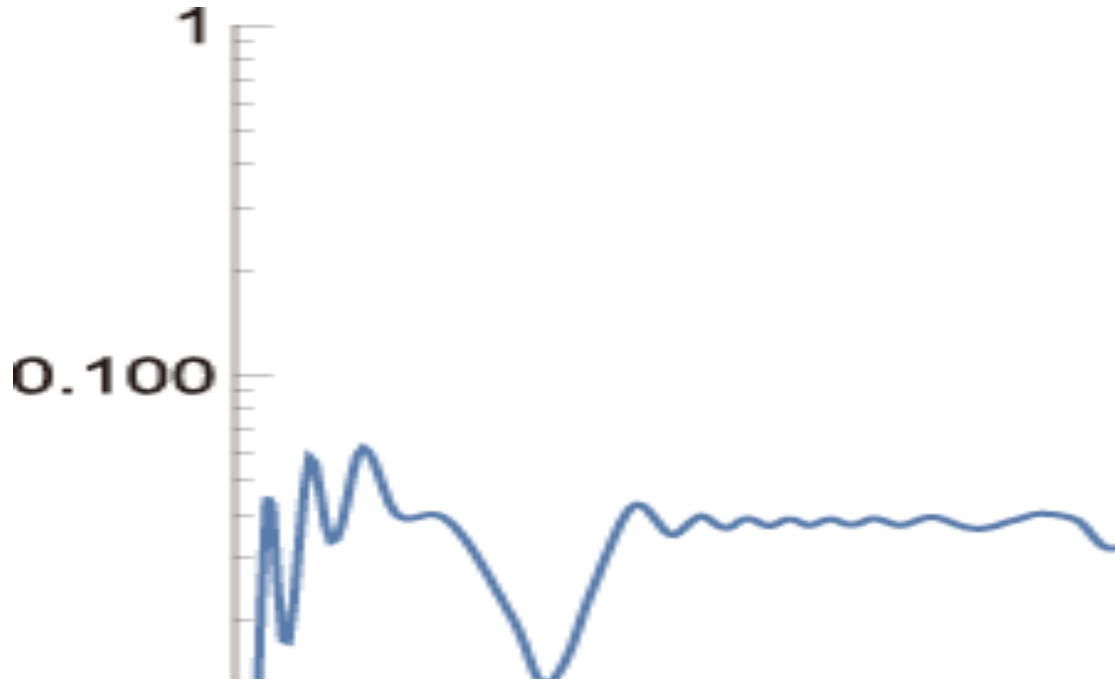
Numerical calculation 1  
Confirmed asymmetry



# CP violation from the geometry

Asymmetry (by the “ratio”  $R$ )

Numerical calculation 2  
Confirmed the origin



The ratio is **nearly constant** during preheating. Since  $\gamma$  is not constant in this model, it is not easy to determine the origin of the asymmetry. Nevertheless, the numerical result seems to be suggesting that the asymmetry is mostly coming from **the biased eigenstate**, not from the biased multiplication factor of the resonance.

# Summary (2<sup>nd</sup> Half)

Standard approach

~~CP~~ + **Quantum** correction ( $i\Gamma$ ) = asymmetry

GUT Baryogenesis  
Leptogenesis  
Kaon etc.

In the presence of ~~CP~~  
**quantum correction (\*phase)**  
**distinguishes** matter/antimatter

New paradigm?

~~CP~~ + **Geometric** correction ( $i\gamma$ ) = asymmetry

Multi-field preheating (more than 3)  
Geometric Baryogenesis?

In the presence of ~~CP~~  
**geometric phase distinguishes**  
matter/antimatter  
This biases the eigenstates

In the schrodinger eq.  
 $i\Gamma$  and  $i\gamma$  appears in the **same** way

# Conclusions

Preheating is already an old idea, but there has been no work explaining **how** CP violation affects the matter-antimatter asymmetry in preheating.

Considering preheating in Kaon, we found that anti-Hermitian correction  $i\Gamma$  can generate asymmetry during preheating. Since the equation of motion is diagonalized for the eigenstates, particle production occurs for the eigenstates. (individually)  
Then the calculation is straight. The bias in the eigenstates is the source of the asymmetry. It is **constant** during preheating and does **not depend on the details** of the preheating process.

This result is rather surprising.

Then we extend the idea. Since the background is changing there may be some geometric correction, which appears as the shift of the phase(Berry phase). This “**geometric**” correction can distinguish matter and antimatter in the presence of  $\cancel{CP}$  (KM phase). This is of course a **very new** result.



For completeness we note:

In 2001, similar calculation was given by Funakubo et.al. However, they claimed “**at least two complex scalars interacting with the oscillating background**” are needed for the asymmetry. Our model has **only 1 complex and 1 real scalar** fields. Also, the **real scalar field is not interacting** with the oscillating background.

K. Funakubo, A. Kakuto, S. Otsuki and F. Toyoda, “Charge generation in the oscillating background,” Prog. Theor. Phys. **105**, 773 (2001)[hep-ph/0010266].

Fermions at work  
to be continued