

# Stability of nonsingular cosmologies with limiting curvature

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# Motivation

- General Relativity (GR) with normal matter  
     $\implies$  cosmological singularities are inevitable  
(Penrose [‘65], Hawking [‘67])
- Even inflationary cosmology (within GR) is inevitably past incomplete  
(Borde & Vilenkin [gr-qc/9312022], Borde et al. [gr-qc/0110012])
- One would thus like to build a theory that is free of these bad singularities  
     $\implies$  one has to go beyond classical GR
- Ultimate goal: a theory of the very early universe embedded in a quantum theory of gravity without singularities

# One approach to nonsingular cosmology

- Horndeski theories:

$$\begin{aligned}\mathcal{L} = & G_2(\phi, X) - G_3(\phi, X)\Box\phi + G_4(\phi, X)R + G_{4,X}[(\Box\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] \\ & + G_5(\phi, X)G_{\mu\nu}\nabla^\mu\nabla^\nu\phi - \frac{G_{5,X}}{6}[(\Box\phi)^3 - 3(\Box\phi)(\nabla_\mu\nabla_\nu\phi)^2 + 2(\nabla_\mu\nabla_\nu\phi)^3], \\ & \text{where } X \equiv \frac{1}{2}g^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi \text{ and } G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R\end{aligned}$$

- Choose the  $G_i(\phi, X)$ 's in order to violate the Null Energy Condition for a short period of time
- Is the resulting theory stable?

# Perturbations and (in)stability

- 2nd-order perturbed actions (unitary gauge):

$$S_{\text{tensor}}^{(2)} = \frac{1}{8} \int d^4x a^3 \left[ \mathcal{G}_T \dot{h}_{ij}^2 - \frac{\mathcal{F}_T}{a^2} (\vec{\nabla} h_{ij})^2 \right] ,$$

$$S_{\text{scalar}}^{(2)} = \int d^4x a^3 \left[ \mathcal{G}_S \dot{\zeta}^2 - \frac{\mathcal{F}_S}{a^2} (\vec{\nabla} \zeta)^2 \right]$$

- Conditions for stability (e.g., scalar sector):

$$\mathcal{G}_S > 0 \Leftrightarrow \text{no ghost instability ,}$$

$$\mathcal{F}_S > 0 \Leftrightarrow \text{no gradient instability}$$

# No-Go Theorems

- Within Horndeski theories, it is **not** possible to have a geodesically complete spacetime and be free of both ghost and gradient instabilities at all times

(Libanov et al. [1605.05992], Kobayashi [1606.05831])

$$\mathcal{G}_S(t) > 0, \mathcal{F}_S(t) > 0, \mathcal{G}_T(t) > 0, \mathcal{F}_T(t) > 0, \forall t \in (-\infty, \infty)$$

- Can also be shown in effective field theory (EFT) (Cai et al. [1610.03400, 1701.04330],

Creminelli et al. [1610.04207])

- The no-go can be evaded only if:
  - In EFT, include higher-order operators
  - Work with beyond-Horndeski theories

(Cai & Piao [1705.03401, 1707.01017])

(Kolevatov et al. [1705.06626])

## Other approach to nonsingular cosmology: limiting curvature

- The idea of limiting curvature: there should exist a fundamental length scale  $\ell_f$  (possibly  $\sim \ell_{\text{Pl}}$ ) such that

$$|R| < \ell_f^{-2}, \quad |R_{\mu\nu}R^{\mu\nu}| < \ell_f^{-4}, \quad |\nabla_\rho R_{\mu\nu}\nabla^\rho R^{\mu\nu}| < \ell_f^{-6}, \quad \text{etc.}$$

- Difficulty: one could have  $|R_{\mu\nu}R^{\mu\nu}| < \ell_f^{-4}$ , but still  $|\nabla_\rho R_{\mu\nu}\nabla^\rho R^{\mu\nu}| \rightarrow \infty$ .
- Limiting curvature hypothesis: find a theory with a finite number of curvature invariants bounded, e.g.,  $|R| \leq \ell_f^{-2}$ ,  $|R_{\mu\nu}R^{\mu\nu}| \leq \ell_f^{-4}$ . Then, when these invariants take on their limiting values, any solution of the field equations reduces to a definite nonsingular solution.

Mukhanov & Brandenberger [PRL, 1992]

## Limiting curvature implementation: example in special relativity

- Action for a point particle in special relativity:

$$S = m \int dt \left[ \frac{1}{2} \dot{x}^2 + \varphi \dot{x}^2 - V(\varphi) \right], \quad V(\varphi) = \frac{2\varphi^2}{1 + 2\varphi}.$$

- $\delta_\varphi S = 0 \implies \dot{x}^2 = \frac{dV}{d\varphi} = 1 - \frac{1}{(1+2\varphi)^2} \implies \dot{x}^2 \leq 1 \quad \forall \varphi \in (-\infty, \infty)$
- Solving for  $\varphi$  in terms of  $\dot{x}^2$  and substituting in the action above, one finds

$$S = m \int dt \sqrt{1 - \dot{x}^2},$$

as expected.

# Limiting curvature implementation

- Naturally constructed to avoid singularities (contrary to, e.g., Horndeski theories)
- Used to construct nonsingular black holes (Frolov et al. ['89, '90], Morgan ['91], Trodden et al. [hep-th/9305111], Bogojevic & Stojkovic [gr-qc/9804070], Easson [hep-th/0210016], Frolov [1609.01758], Chamseddine & Mukhanov [1612.05861])
- In cosmology, the action is (Mukhanov & Brandenberger ['92], Brandenberger et al. [gr-qc/9303001])

$$S = \frac{M_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} [R + \chi_1 I_1(R, R_{\mu\nu} R^{\mu\nu}, \dots) - V_1(\chi_1) + \chi_2 I_2(R, R_{\mu\nu} R^{\mu\nu}, \dots) - V_2(\chi_2)] + S_m,$$

- Assume  $I_1, I_2 \sim \mathcal{O}(R)$ . Since in FRW  $\bar{R} = 12H^2 + 6\dot{H}$ , a natural choice is

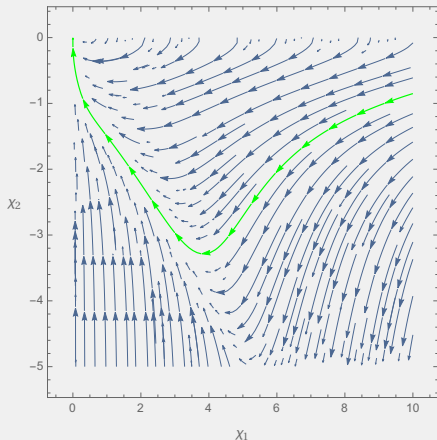
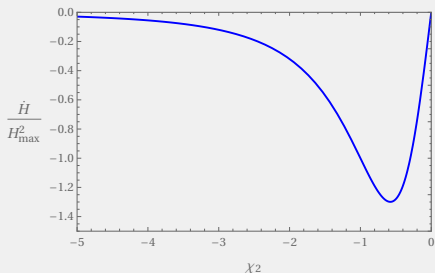
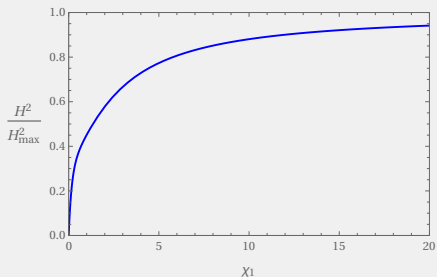
$$\bar{I}_1 = 12H^2, \quad \bar{I}_2 = -6\dot{H}.$$

- So  $\delta_{\chi_1} S = 0$  and  $\delta_{\chi_2} S = 0$  gives the constraint equations

$$\bar{I}_1 = 12H^2 = \frac{dV_1}{d\chi_1}, \quad \bar{I}_2 = -6\dot{H} = \frac{dV_2}{d\chi_2}.$$

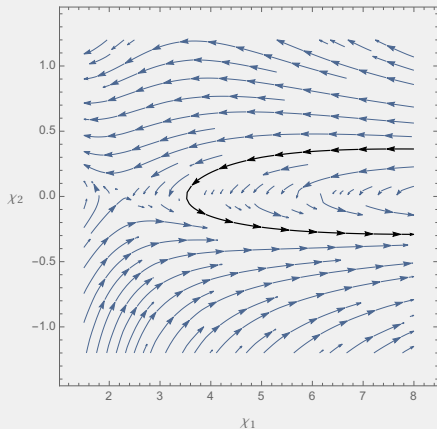
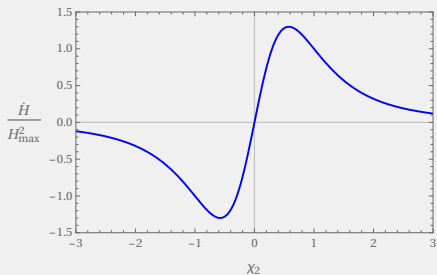
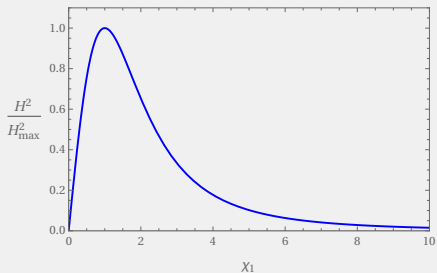


# Example: de Sitter to Minkowski (inflation)



## Example: de Sitter to Minkowski (inflation)

# Example: Minkowski to Minkowski (genesis)



## Example: Minkowski to Minkowski (genesis)

# Perturbations and stability

$$\mathcal{L} = R + \chi_1 I_1 - V_1(\chi_1) + \chi_2 I_2 - V_2(\chi_2)$$

- Mukhanov & Brandenberger [92] took

$$I_2 \equiv \sqrt{12R_{\mu\nu}R^{\mu\nu} - 3R^2}, \quad I_1 \equiv I_2 + R.$$

- In FLRW,  $\bar{I}_1 = 12H^2$  and  $\bar{I}_2 = -6\dot{H}$  as wanted
- Consider tensor modes. 2nd-order perturbed action (in Fourier space):

$$S_{\text{tensor}}^{(2)} \supset \int dt d^3k a^3 \left( \mathcal{G}_T \ddot{h}_k^2 + \mathcal{K}_T \dot{h}_k^2 - \mathcal{M}_T \frac{k^2}{a^2} h_k^2 \right),$$

$$\mathcal{G}_T = -\frac{\chi_1 + \chi_2}{2\dot{H}}.$$

→ **Ostrogradski instability** (corresponds to a linearly unstable Hamiltonian)

## Possible resolution

$$\mathcal{L} = R + \chi_1 I_1 - V_1(\chi_1) + \chi_2 I_2 - V_2(\chi_2)$$

- Include the Weyl tensor squared:

$$I_2 \equiv \sqrt{12R_{\mu\nu}R^{\mu\nu} - 3R^2 + 3\kappa C_{\mu\nu\rho\sigma}C^{\mu\nu\rho\sigma}}, \quad I_1 \equiv I_2 + R.$$

- Perturbing the action in the tensor sector:

$$S_{\text{tensor}}^{(2)} \supset \int dt d^3k a^3 \left( \mathcal{G}_T \ddot{h}_k^2 + \mathcal{K}_T \dot{h}_k^2 - \mathcal{M}_T \frac{k^2}{a^2} h_k^2 \right),$$

$$\mathcal{G}_T = -(2 + \kappa) \frac{\chi_1 + \chi_2}{4\dot{H}},$$

$\longrightarrow \kappa = -2 \implies \mathcal{G}_T = 0 \longrightarrow$  no Ostrogradski ghost

- Is it valid at higher order ( $S^{(3)}$ ,  $S^{(4)}$ , ...)? Possibly only up to  $H_{\text{max}}$

# Perturbations modes

$$\mathcal{L} = R + \chi_1 \left( R + \sqrt{12R_{\mu\nu}R^{\mu\nu} - 3R^2 - 6C^2} \right) - V_1(\chi_1) \\ + \chi_2 \sqrt{12R_{\mu\nu}R^{\mu\nu} - 3R^2 - 6C^2} - V_2(\chi_2), \quad C^2 \equiv C_{\mu\nu\rho\sigma}C^{\mu\nu\rho\sigma}$$

- No propagating vector modes
- Tensor and scalar modes:

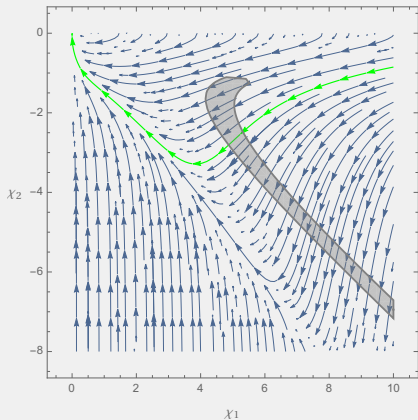
$$S_{\text{tensor}}^{(2)} \sim \int dt d^3k a^3 \left( \mathcal{K}_T \dot{h}_k^2 - \mathcal{M}_T \frac{k^2}{a^2} h_k^2 \right)$$

$$S_{\text{scalar}}^{(2)} \sim \int dt d^3k a^3 \left( \mathcal{K}_S \dot{\Phi}_k^2 - \mathcal{M}_S(k) \Phi_k^2 \right)$$

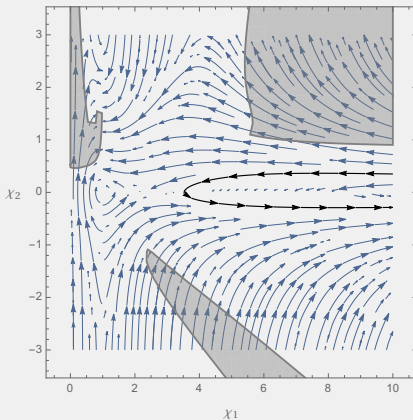
- $\mathcal{M}_S(k) \sim \mathcal{O}[(k/a)^8]$  for  $k/a \gg 1 \rightarrow$  modified dispersion relation
- $\mathcal{K}_T, \mathcal{M}_T, \mathcal{K}_S, \mathcal{M}_S$  are complicated functions of  $\chi_n$  and  $V_n(\chi_n)$  that can be positive or negative depending on the background trajectory  $\rightarrow$  possible ghost and gradient instabilities

# Stability during inflation and genesis

## Inflation



## Genesis





## Construct another curvature invariant function

$$\mathcal{L} = R + \chi_1 I_1 - V_1(\chi_1) + \chi_2 I_2 - V_2(\chi_2)$$

- Consider first derivatives of  $R$ :

$$X^\mu{}_\nu \equiv \nabla^\mu R \nabla_\nu R, \quad X = \nabla^\mu R \nabla_\mu R$$

- For a flat FLRW background,

$$\bar{X} = -36(4H\dot{H} + \ddot{H})^2$$

- Want to construct  $I_1$  such that  $\bar{I}_1 = 12H^2$ :

$$I_1 \equiv -\frac{1}{X^3} [4X^2 (\nabla_\mu \nabla_\nu R)^2 - 2X (\nabla_\mu X)^2 + (\nabla_\mu R \nabla_\nu X)^2]$$

- Then,  $I_2 \equiv I_1 - R$  and  $\bar{I}_2 = -6\dot{H}$ .

# Perturbations for the new curvature invariant function

- No propagating vector modes
- Tensor modes:

$$S_{\text{tensor}}^{(2)} \sim \int dt d^3k a^3 \left( \mathcal{K}_T \dot{h}_k^2 - \mathcal{M}_T \frac{k^2}{a^2} h_k^2 \right)$$

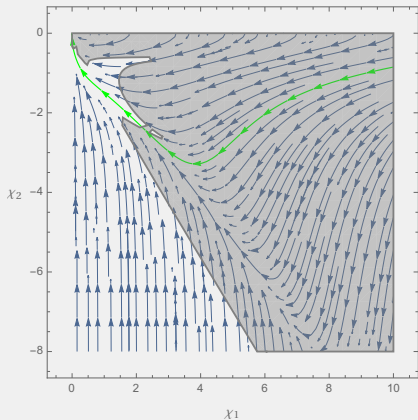
where

$$\mathcal{K}_T = \frac{1 + 4\chi_1 + 3\chi_2}{2}, \quad \mathcal{M}_T = \frac{1 - \chi_2}{2}$$

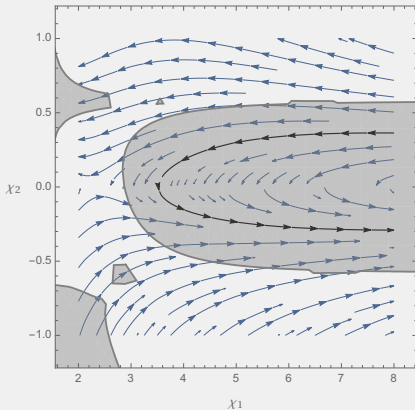
- No Ostrogradski instability. No ghost or gradient instabilities as long as  $\chi_2 < 1$  and  $\chi_1 > -(1 + 3\chi_2)/2$ .
- No superluminality  $\implies c_s^2 \equiv \mathcal{M}_T/\mathcal{K}_T \leq 1 \implies \chi_1 \geq -\chi_2$ .
- Similar story in the scalar sector, though the conditions on  $\chi_1$  and  $\chi_2$  are slightly more non-trivial

# Stability during inflation and genesis

## Inflation



## Genesis



# Conclusions

- Simple nonsingular cosmologies within Horndeski theories are unstable (no-go theorem)  
→ one needs to consider beyond-Horndeski theories
- Other approach: limiting curvature
- Old model of Mukhanov & Brandenberger has Ostrogradski instabilities
- Can be cured by including the Weyl tensor squared  
→ still important ghost and gradient instabilities
- New curvature invariant constructed with derivatives of  $R$  leads to no apparent Ostrogradski instability
- Inflationary and genesis scenarios are mostly stable with regards to ghost and gradient instabilities
- Still very hard to construct stable nonsingular cosmologies

# What's next?

- Construct viable nonsingular inflationary and genesis scenarios, and explore cosmological observables
- Explore nonsingular bouncing cosmology  
(E.g., Chamseddine & Mukhanov [1612.05860], Bodendorfer et al. [1703.10670], Liu et al. [1703.10812])
- How does the theory with limiting curvature fit in the greater picture of scalar-tensor theories of gravity?  
→ included in beyond-Horndeski theories? in Degenerate Higher-Order Scalar-Tensor (DHOST) theories?

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