

Neutrino Properties

Thomas Schwetz



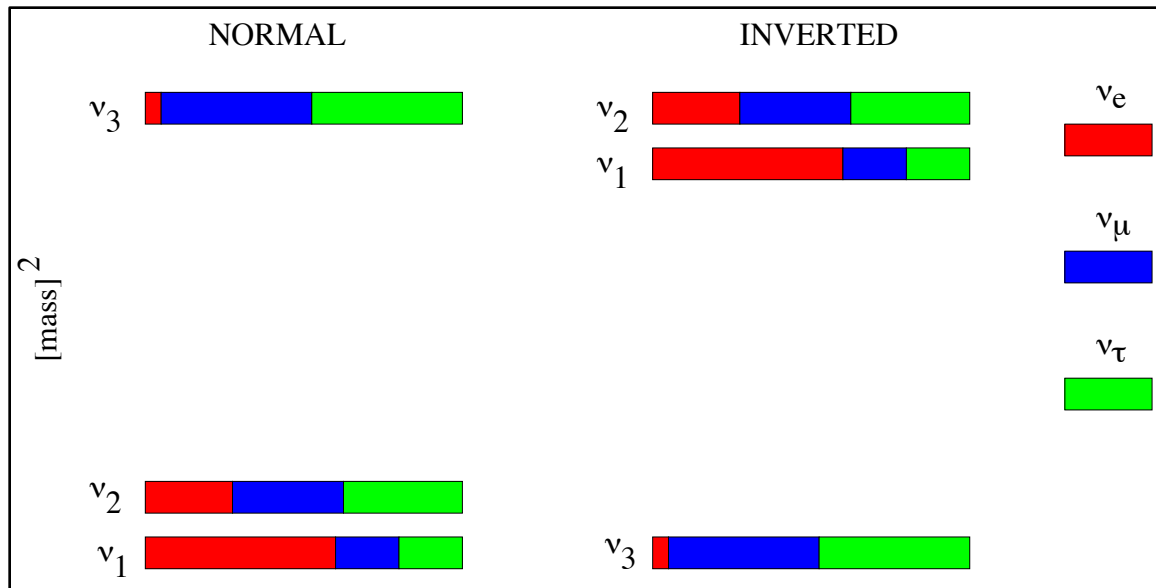
COSMO 2017, Aug. 27 - Sept. 1, 2017, Paris, France

Outline

- Three-flavour neutrino parameters
- Beyond Standard Model neutrino interactions
- Hints for sterile neutrinos at the eV scale

3-neutrino parameters

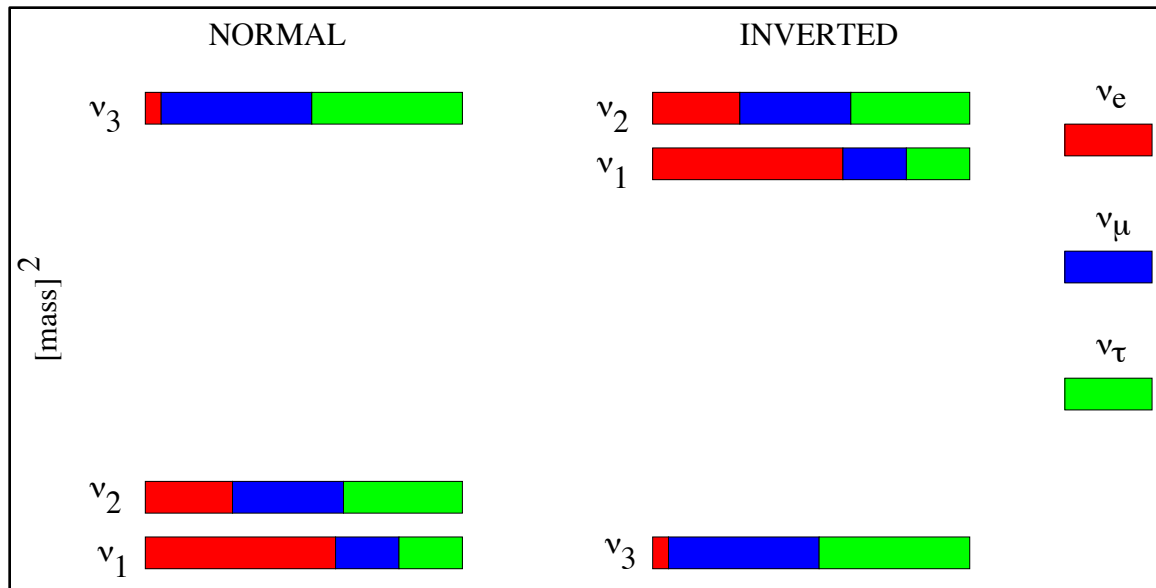
- 3 masses: Δm_{21}^2 , Δm_{31}^2 , m_0
- 3 mixing angles θ_{12} θ_{13} θ_{23}
- 3 phases (1 Dirac, 2 Majorana)



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neutrino
oscillations

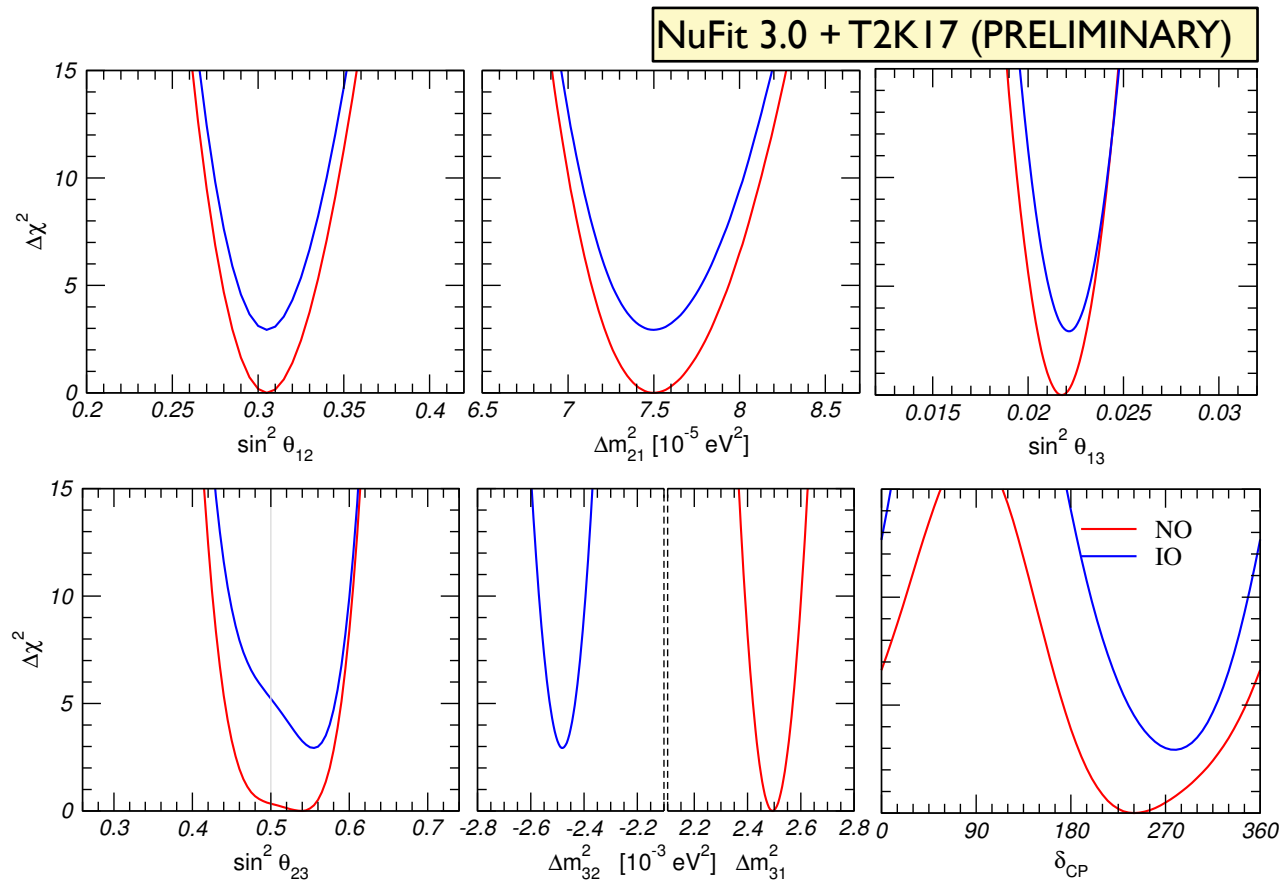


3-flavour mixing - global fit



- NuFit: www.nu-fit.org
- MC Gonzalez-Garcia, M Maltoni, et al
- updated global fit results including 2-dim χ^2 maps
- last release NuFit-3.0 *Esteban et al., 1611.01514*
NuFit-3.1 in preparation
- this talk: preliminary results from NuFit-3.0 + summer 2017 results from T2K

3-flavour mixing - global fit



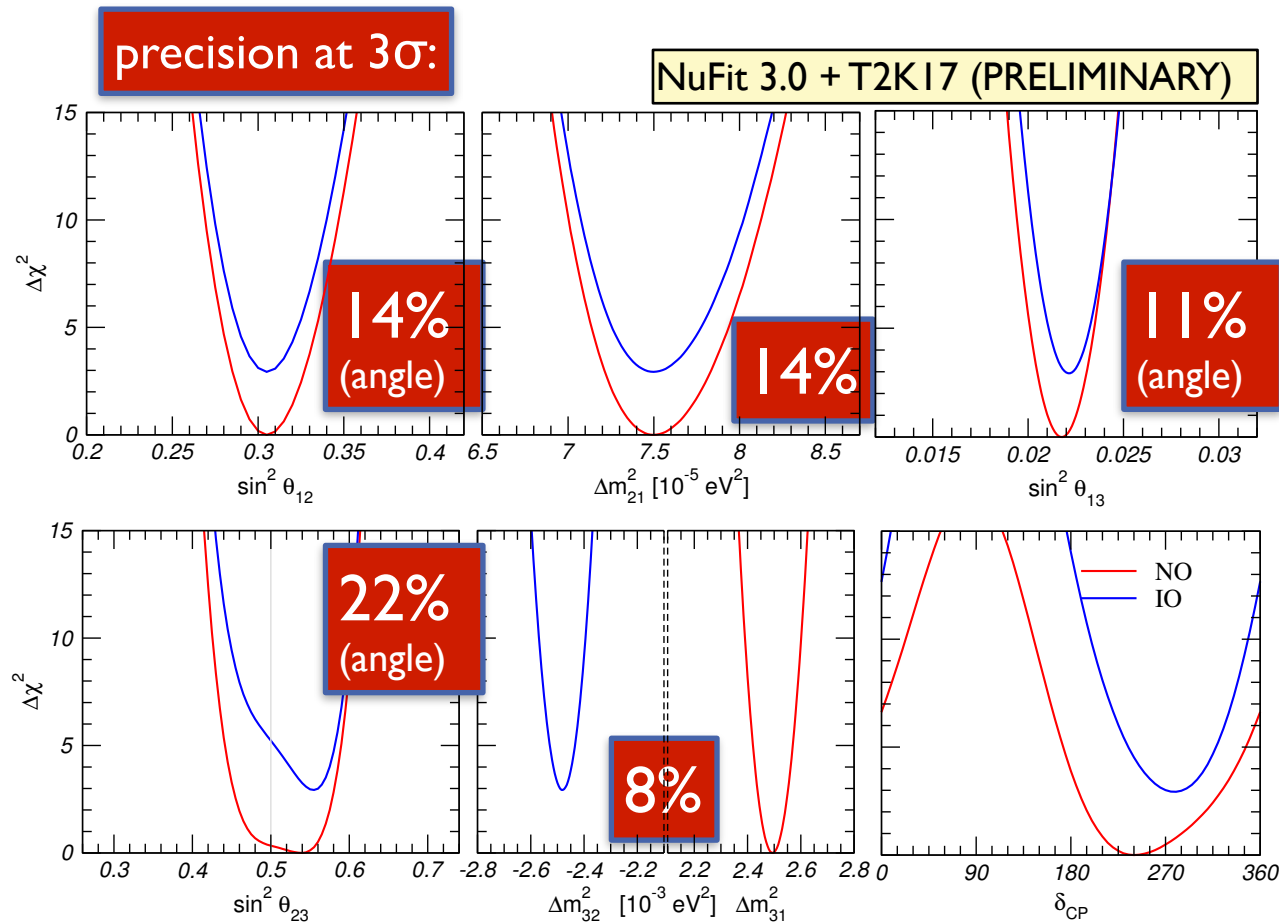
- well determined parameters

$$\theta_{12} \quad \theta_{13} \quad \Delta m_{21}^2 \quad |\Delta m_{31}^2|$$

open issues:

- θ_{23} : octant/maximality
- mass ordering
- δ_{CP} : preference for $180^\circ < \delta_{\text{CP}} < 360^\circ$

3-flavour mixing - global fit



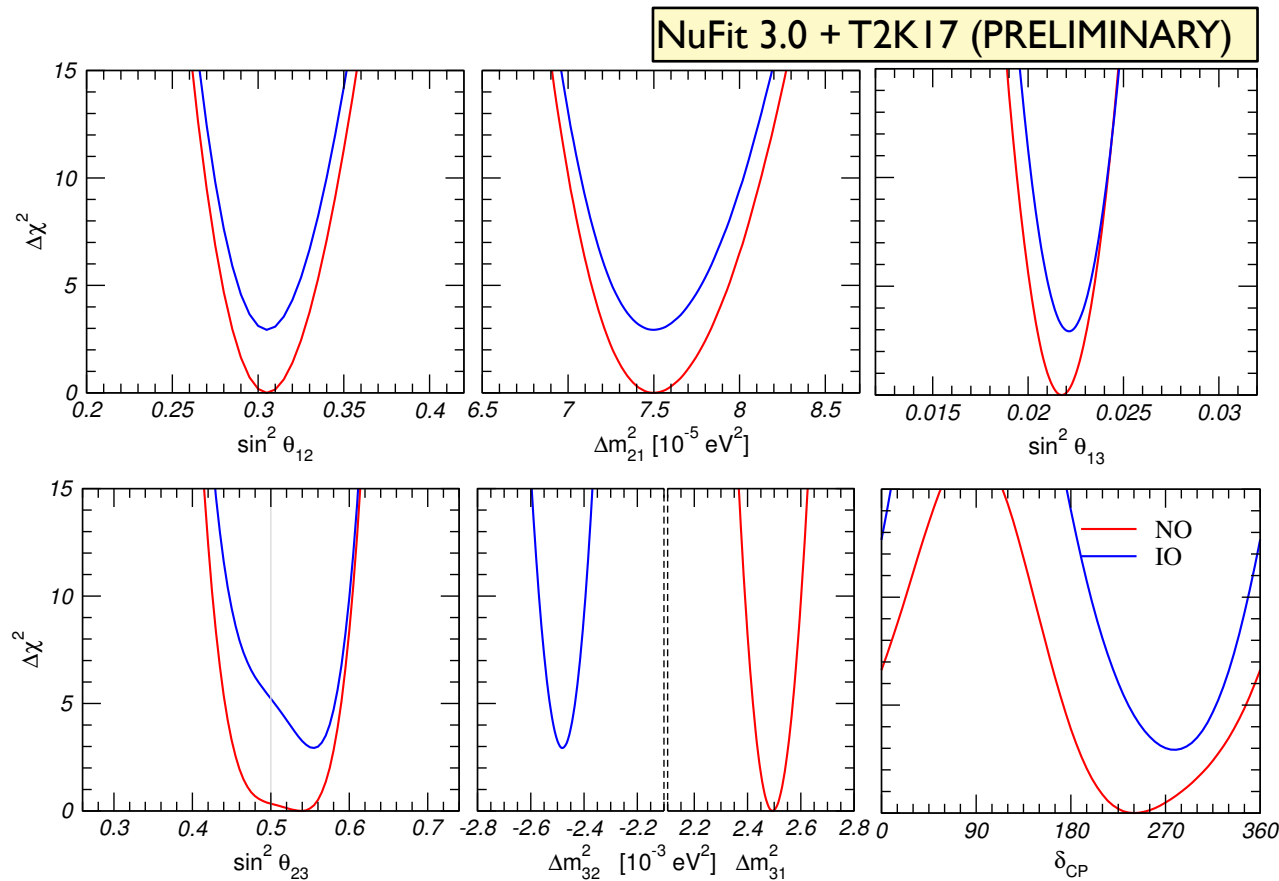
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Leptonic CP violation

Leptonic CP violation will manifest itself in a difference of the vacuum oscillation probabilities for neutrinos and anti-neutrinos

Cabibbo, 1977; Bilenky, Hosek, Petcov, 1980, Barger, Whisnant, Phillips, 1980

$$P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta} \propto J, \quad J = |\text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2})|$$

J : leptonic analogue to Jarlskog-invariant Jarlskog, 1985

standard parameterization: $J = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 \sin \delta \equiv J^{\max} \sin \delta$

NuFit 3.0:

$$J_{\text{CP}}^{\max} = 0.0329 \pm 0.0007$$

compare with Jarlskog invariant in the quark sector:

$$J_{\text{CKM}} = (3.06_{-0.20}^{+0.21}) \times 10^{-5}$$

- ▶ CPV for leptons might be a factor 1000 larger than for quarks
- ▶ OBS: for quarks we know J , for leptons only J^{\max} (do not know δ !)

Leptonic CP violation

Comment on Leptogenesis:

- CPV is a necessary condition for Leptogenesis
- CPV observable in oscillations can be related to Leptogenesis only within a specific model
- observation of CPV cannot be a „prove“ of Leptogenesis — only „circumstantial evidence“

Dirac CP phase — recent T2K results

M. Hartz, KEK colloquium, August 4, 2017

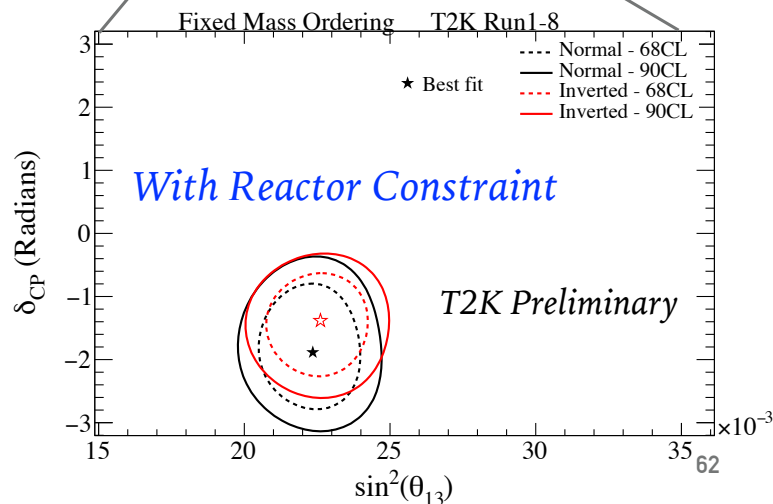
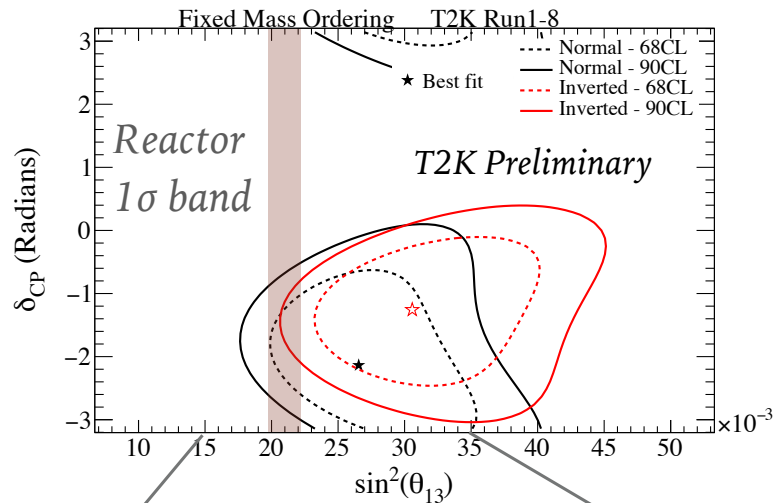
Accumulated 14.7×10^{20} protons-on-target (POT) in neutrino mode and 7.6×10^{20} POT in antineutrino mode - full data set presented here

➤ 29% of the approved T2K POT

		Predicted Rates				Observed
Sample		$\delta_{cp}=-\pi/2$	$\delta_{cp}=0$	$\delta_{cp}=\pi/2$	$\delta_{cp}=\pi$	Rates
neutrino	CCQE 1-Ring e-like FHC	73.5	61.5	49.9	62.0	74
neutrino	CC1 π 1-Ring e-like FHC	6.92	6.01	4.87	5.78	15
anti-neutrino	CCQE 1-Ring e-like RHC	7.93	9.04	10.04	8.93	7
neutrino	CCQE 1-Ring μ -like FHC	267.8	267.4	267.7	268.2	240
anti-neutrino	CCQE 1-Ring μ -like RHC	63.1	62.9	63.1	63.1	68

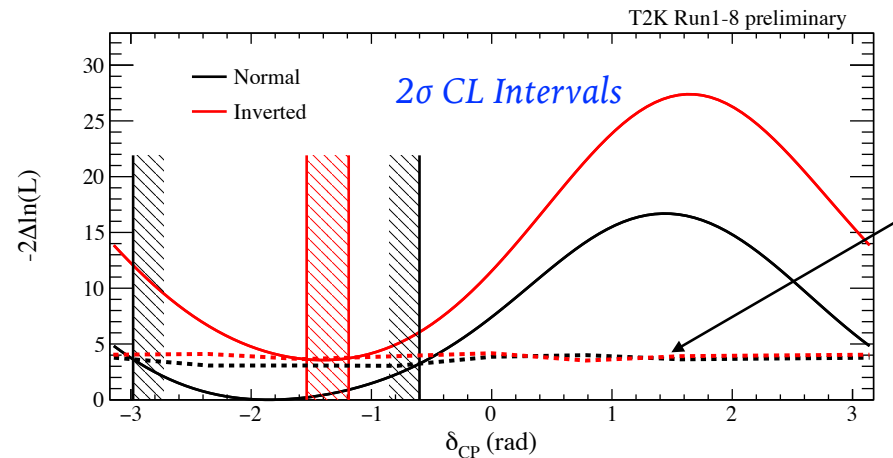
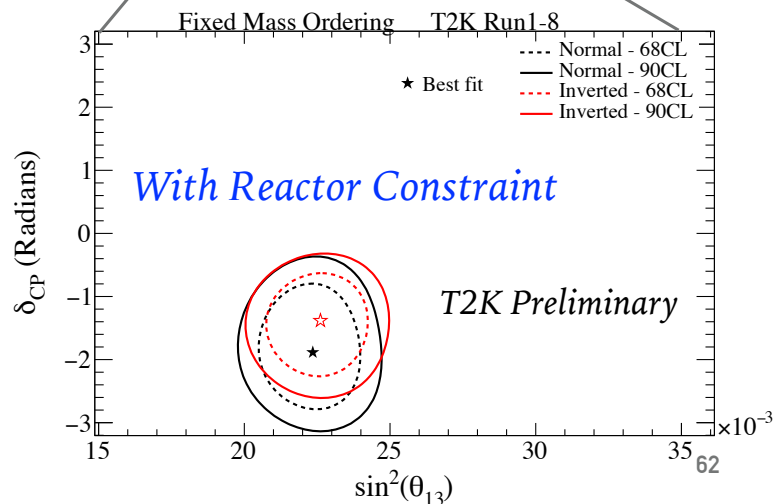
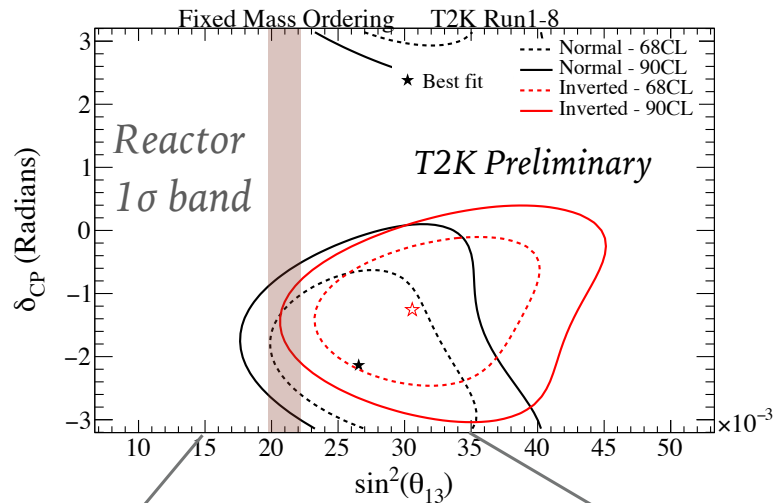
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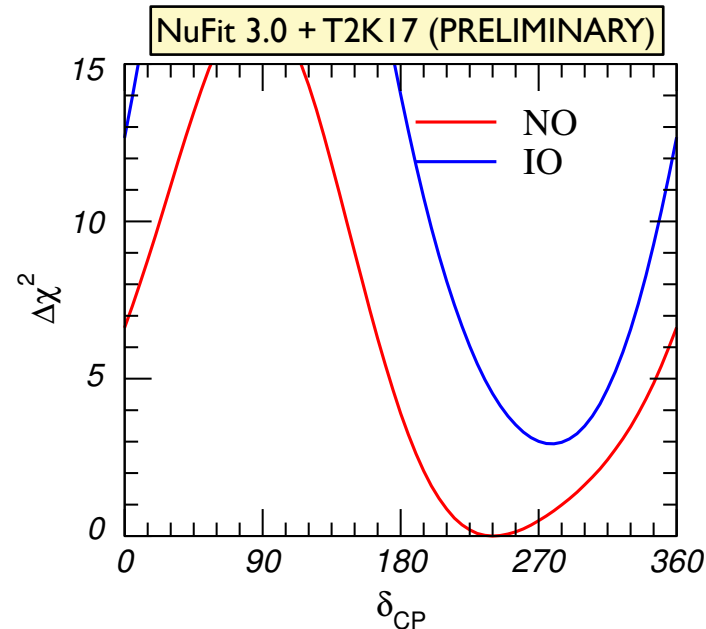
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CP conserving values 0 and π are outside the 2σ CL intervals!

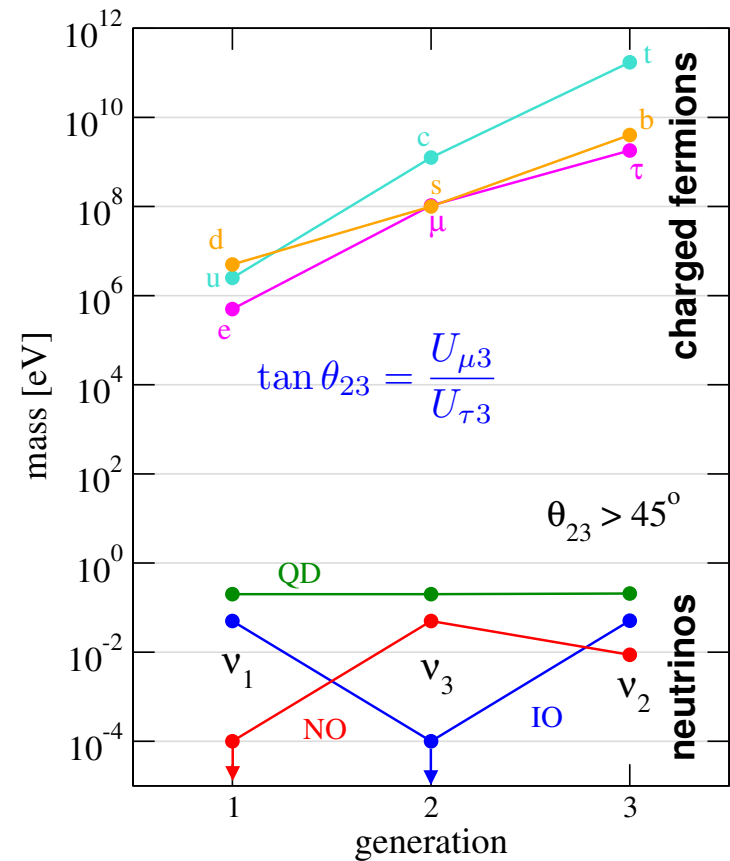
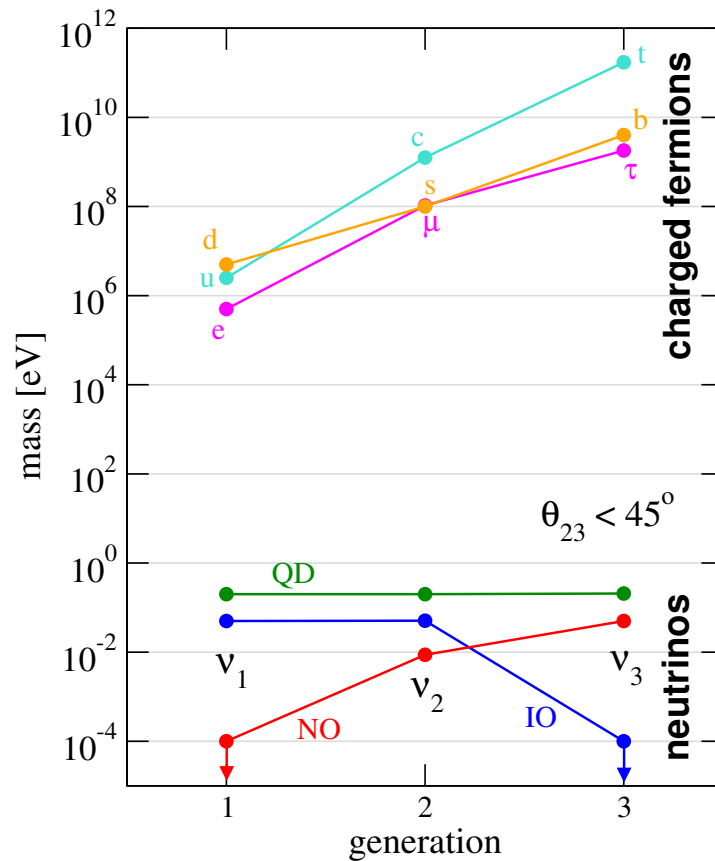
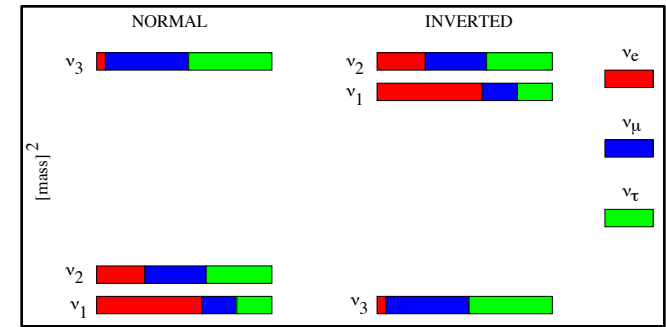
Dirac CP phase — global fit



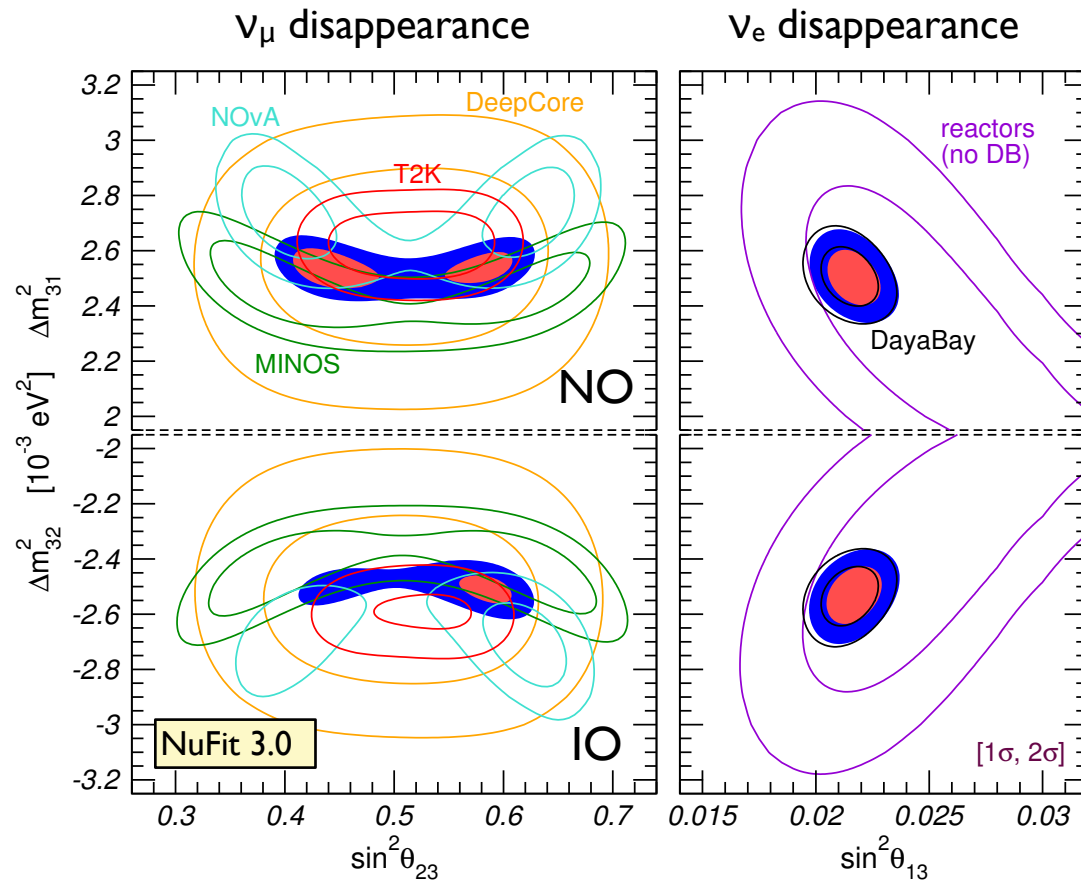
- best fit at $\delta_{CP} \approx 240^\circ$
- CP conservation allowed with $\Delta\chi^2 \approx 4$
- region between 16° and 150° disfavoured with $\Delta\chi^2 > 9$

Neutrino mass spectrum

for inverted ordering and/or $\theta_{23} > 45^\circ$
lepton mixing is very different from quarks

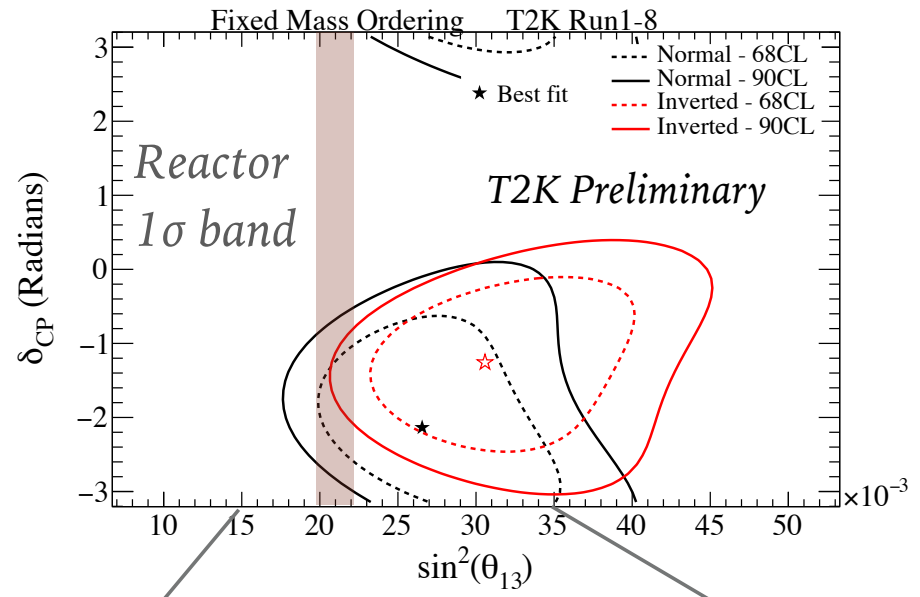
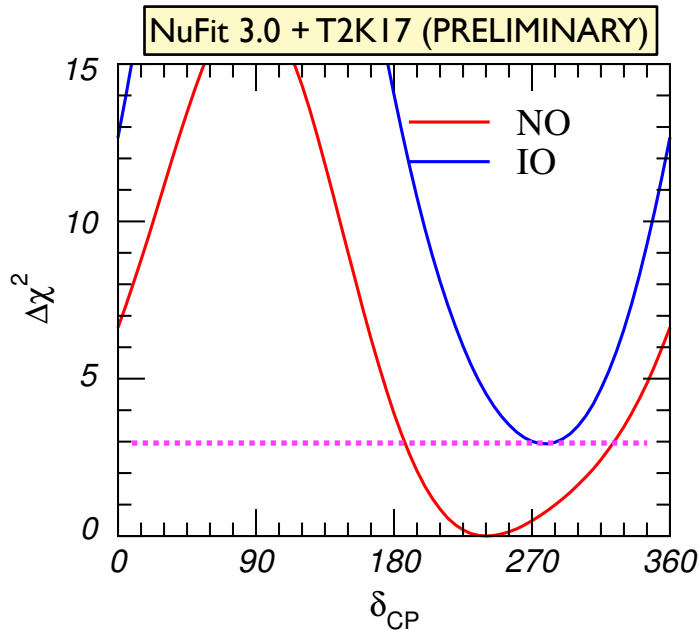


Entering the era of redundancy



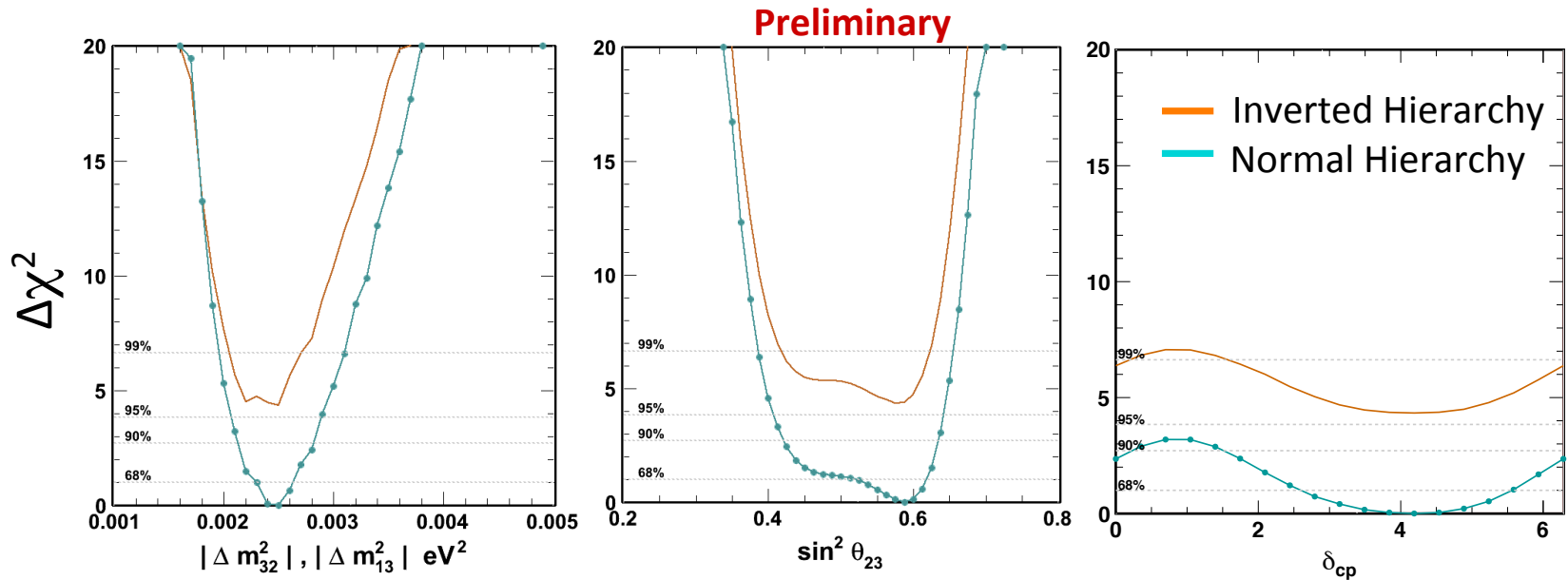
- consistent results in ν_e and ν_μ disappearance searches
- several consistent results in ν_μ disappearance

Mass ordering



- Preference for NO with $\Delta\chi^2 \approx 3$
- mostly driven by T2K vs Reactor

Three flavor ν oscillation analysis Super-K atm. ν only



Fit (517 d.o.f.)	χ^2	δ_{cp}	θ_{23}	Δm_{23} (x10 ⁻³)
Normal Hierarchy	571.74	4.189	0.587	2.5
Inverted Hierarchy	576.08	4.19	0.575	2.5

- $\chi^2_{NH} - \chi^2_{IH} = \mathbf{-4.3}$ (**-3.1 expected**)
- The probability to obtain $\Delta\chi^2$ of -4.3 or less for IH is 0.03 ($\sin^2\theta_{23}=0.6$), 0.007 ($\sin^2\theta_{23}=0.4$). NH hypothesis : 0.45 ($\sin^2\theta_{23}=0.6$)
- θ_{13} fixed to PDG average and its uncertainty is included as a systematic error.¹²

SK coll., talk by J. Kameda, NuFact 2016, Vietnam

Absolute neutrino mass

Three ways to measure absolute neutrino mass:
sensitive to different quantities

- ▶ Neutrinoless double beta-decay: $(A, Z) \rightarrow (A, Z + 2) + 2e^-$
(with caveats: lepton number violation)

$$m_{ee} = | \sum_i U_{ei}^2 m_i |$$

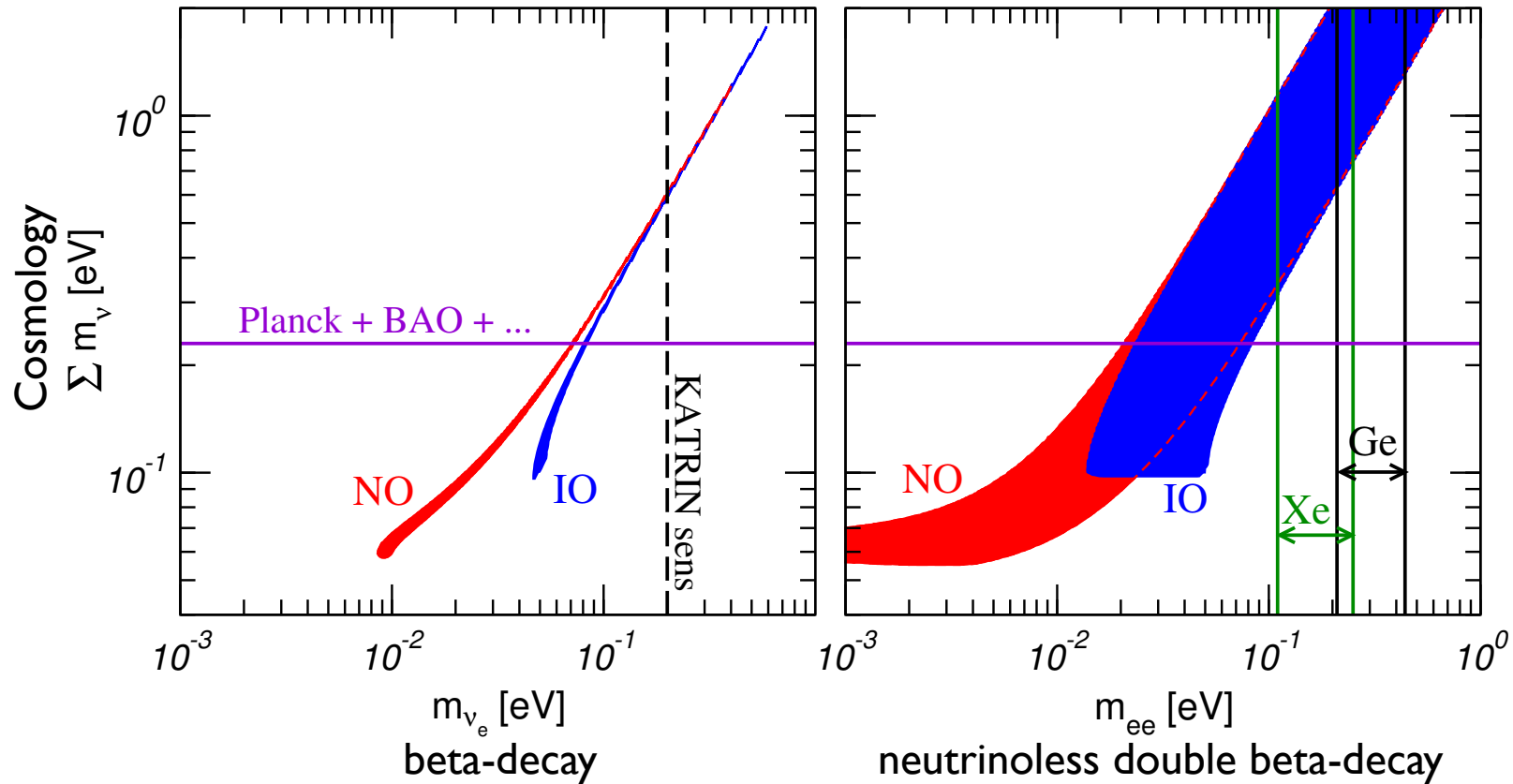
- ▶ Endpoint of beta spectrum: ${}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e$
(experimentally challenging \rightarrow KATRIN)

$$m_\beta^2 = \sum_i |U_{ei}|^2 m_i^2$$

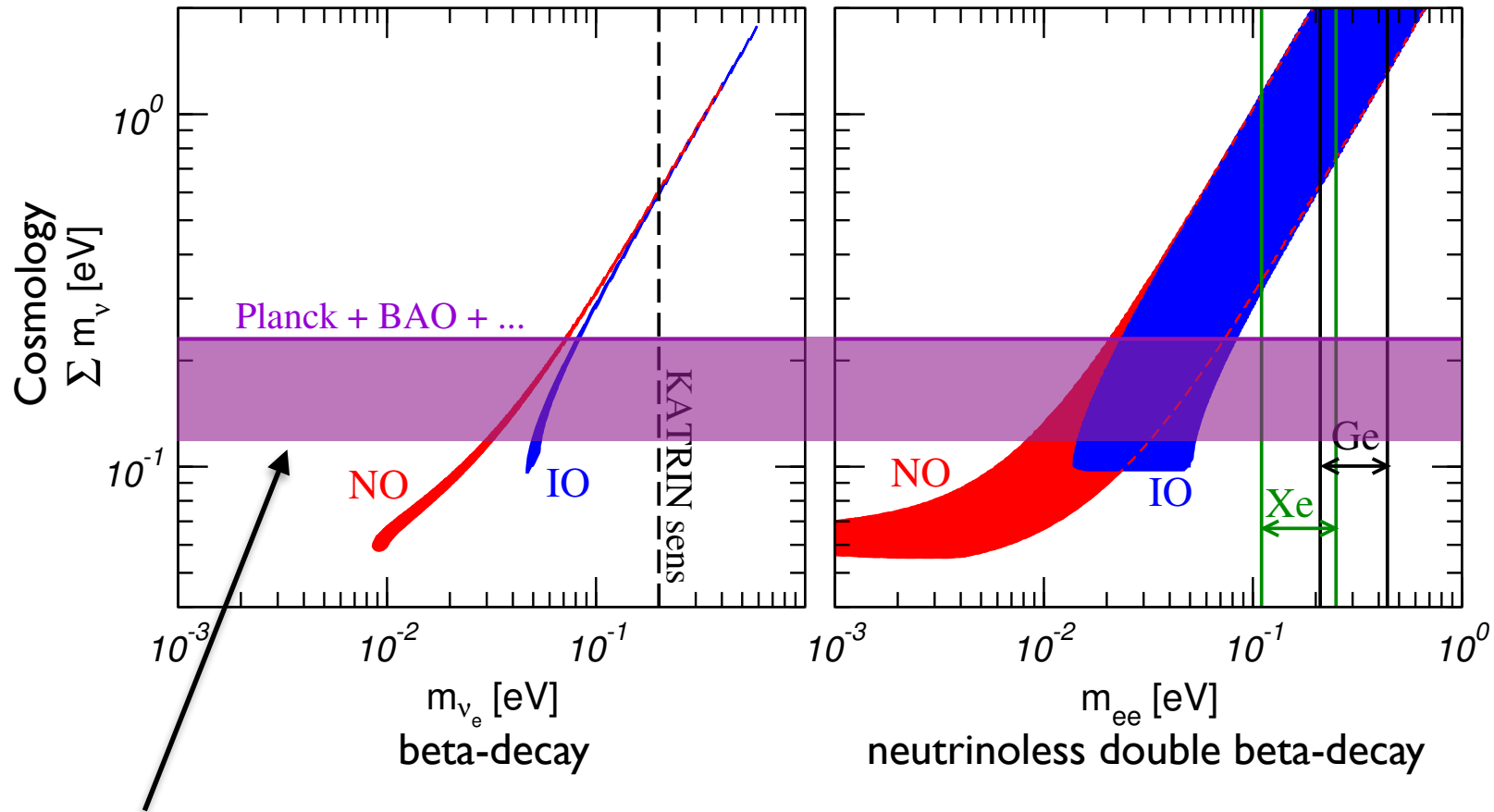
- ▶ Cosmology
(with caveats: cosmological model/data selection)

$$\sum_i m_i$$

Absolute neutrino mass



Absolute neutrino mass



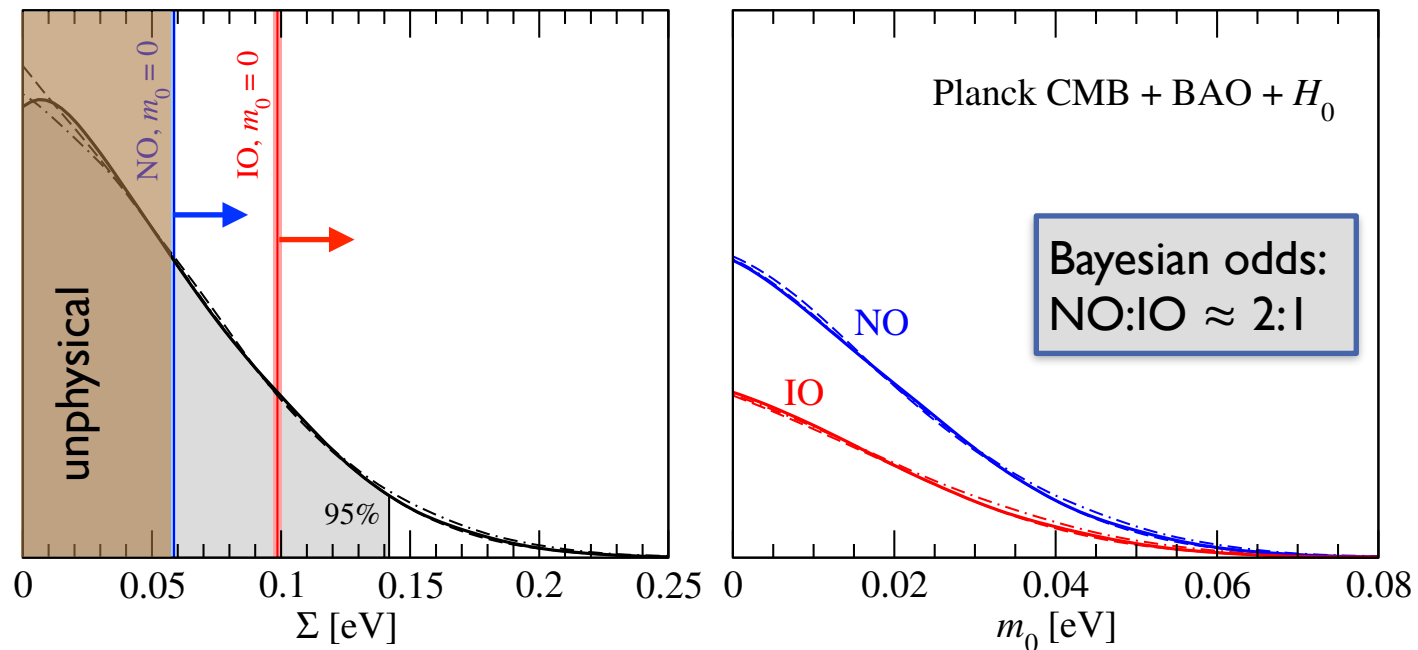
incl. Lyman- α

Baur et al., 1506.05976

Excluding inverted ordering with cosmology?

Hannestad, Schwetz, 1606.04691

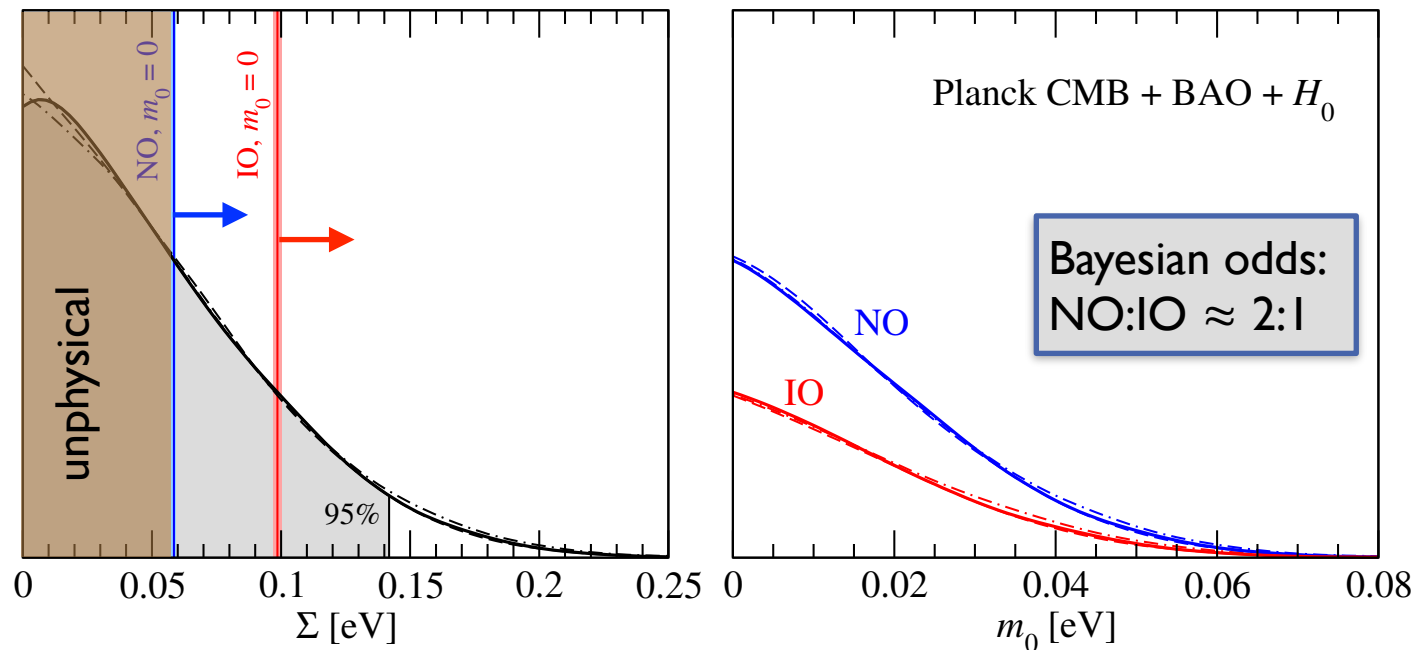
minimal values: $\Sigma = \begin{cases} 58.5 \pm 0.48 \text{ meV} & (\text{NO}) \\ 98.6 \pm 0.85 \text{ meV} & (\text{IO}) \end{cases} \quad (m_0 = 0).$



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„Strong evidence“ for NO claimed in [Simpson et al. 1703.03425](#)
 → be aware of Bayesian priors [[TS et al. 1703.04585](#)]

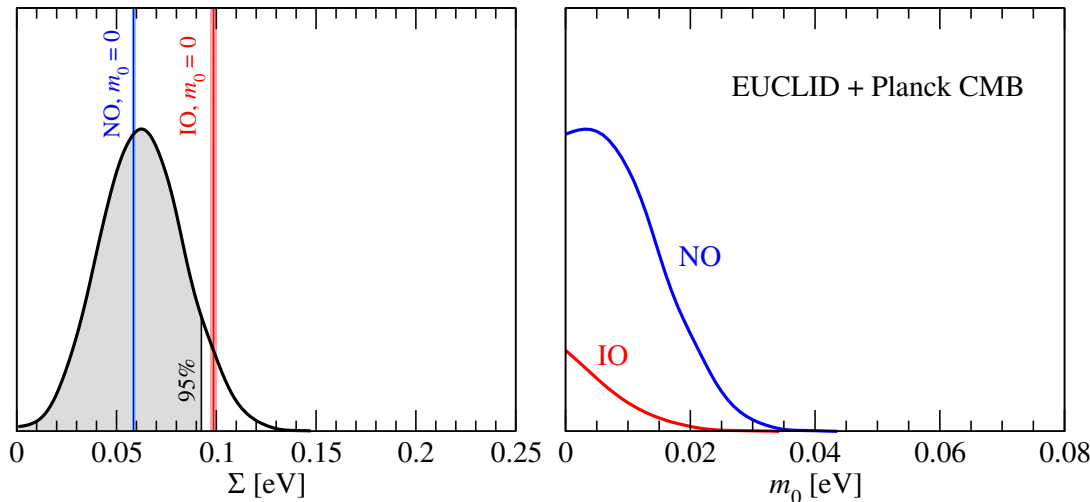
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simulated future data:

2 yrs of EUCLID data, available ~2023-24



- need accuracy better than 0.02 eV to exclude 0.1 eV against 0.06 eV at 2σ
- this would imply a 3σ evidence for non-zero neutrino mass (for Sum = 0.06 eV)

Neutrino properties beyond 3-flavour oscillations

Neutrino properties beyond 3-flavour oscillations

- three-flavour scenario very robust
- most extensions lead to sub-leading perturbations ex.: non-unitarity, eV-scale sterile neutrinos
- counter example: non-standard interactions
(until last month!)

Non-standard neutrino interactions

assume presence of NC-like dim-6 effective operators:

$$H_{\text{NSI}} = \frac{G_F}{\sqrt{2}} \bar{\nu}_\alpha \gamma_\mu (1 - \gamma_5) \nu_\beta \sum_f \bar{f} \gamma^\mu \epsilon_{\alpha\beta}^f f$$

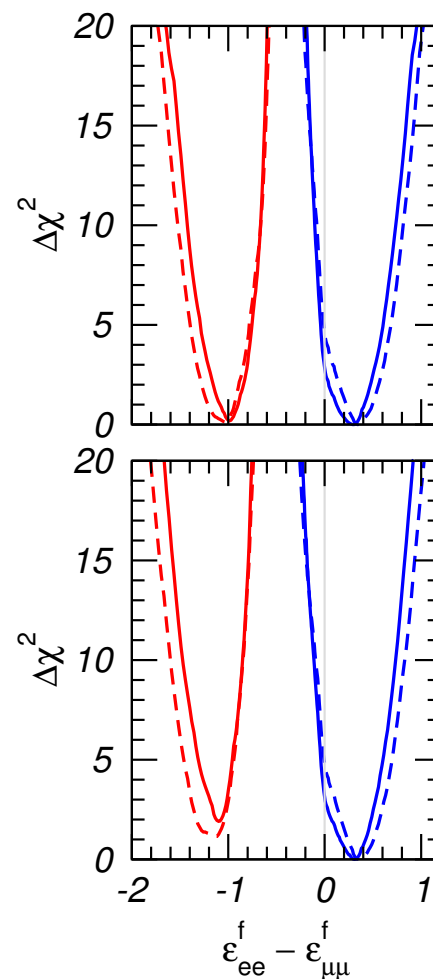
- ▶ $\epsilon_{\alpha\beta}^f$ parametrizes strength of NSI relative to G_F
- ▶ restrict to vector-type interactions (matter potential)
- ▶ NSI can be non-universal ($\alpha = \beta$) or flavour-changing ($\alpha \neq \beta$)
- ▶ in general not directly related to neutrino mass (dim-6) but generically expected at some level

NSI constraints from oscillation data

Gonzalez-Garcia, Maltoni, I 307.3092

Param.	best-fit	90% CL	
		LMA	LMA \oplus LMA-D
$\varepsilon_{ee}^u - \varepsilon_{\mu\mu}^u$	+0.298	[+0.00, +0.51]	\oplus [-1.19, -0.81]
$\varepsilon_{\tau\tau}^u - \varepsilon_{\mu\mu}^u$	+0.001	[-0.01, +0.03]	[-0.03, +0.03]
$\varepsilon_{e\mu}^u$	-0.021	[-0.09, +0.04]	[-0.09, +0.10]
$\varepsilon_{e\tau}^u$	+0.021	[-0.14, +0.14]	[-0.15, +0.14]
$\varepsilon_{\mu\tau}^u$	-0.001	[-0.01, +0.01]	[-0.01, +0.01]
$\varepsilon_{ee}^d - \varepsilon_{\mu\mu}^d$	+0.310	[+0.02, +0.51]	\oplus [-1.17, -1.03]
$\varepsilon_{\tau\tau}^d - \varepsilon_{\mu\mu}^d$	+0.001	[-0.01, +0.03]	[-0.01, +0.03]
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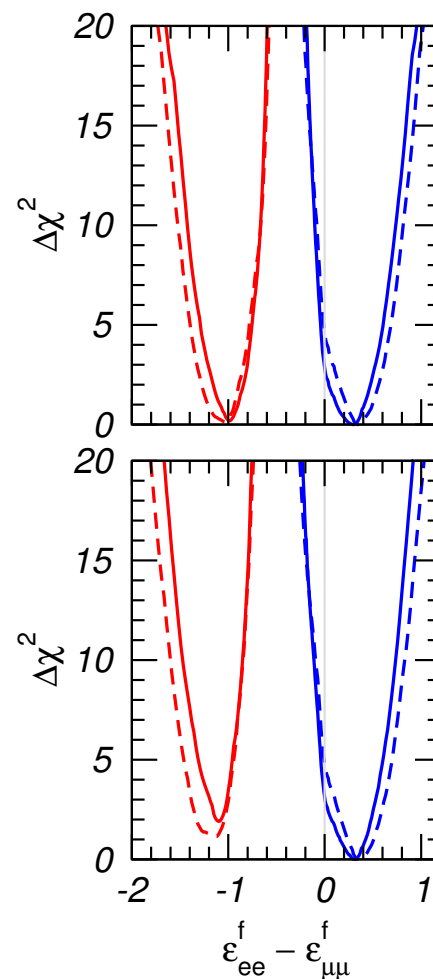
- limits of few %,
 - exceptions: $\varepsilon_{e\tau}$, $\varepsilon_{ee}-\varepsilon_{\mu\mu}$



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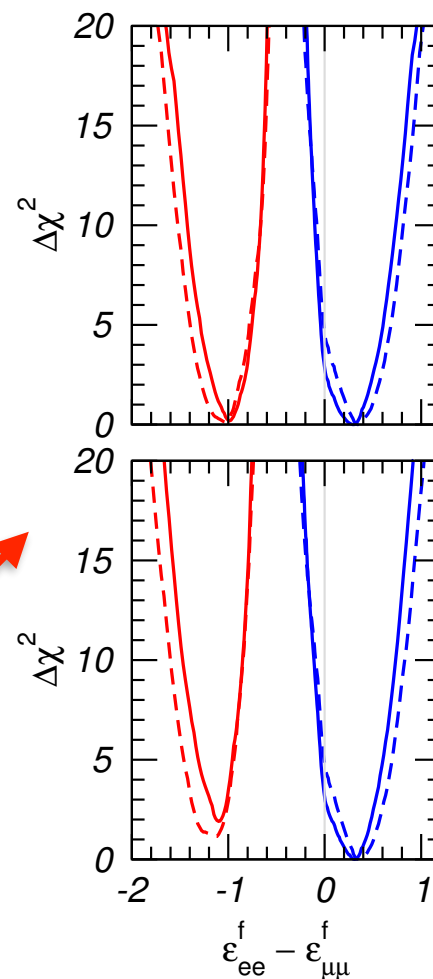


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Gonzalez-Garcia, Maltoni, I 307.3092

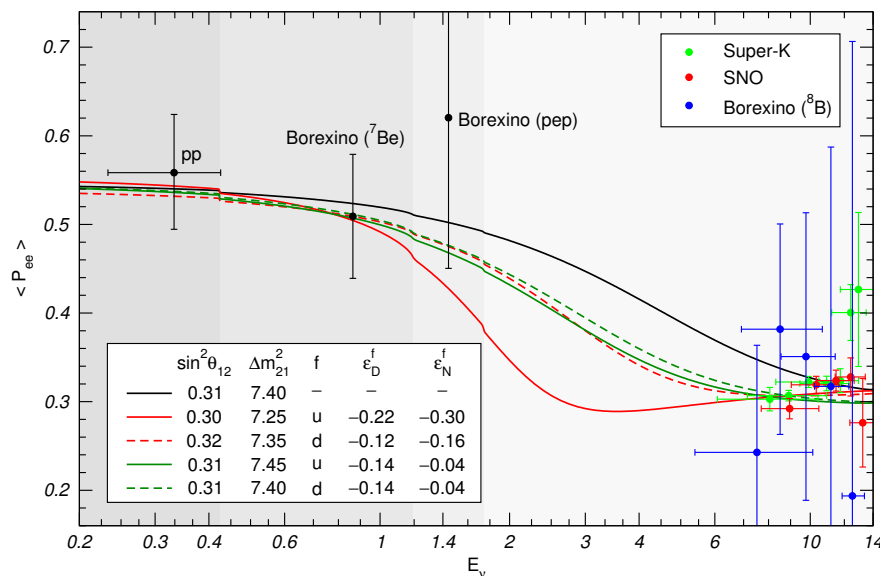
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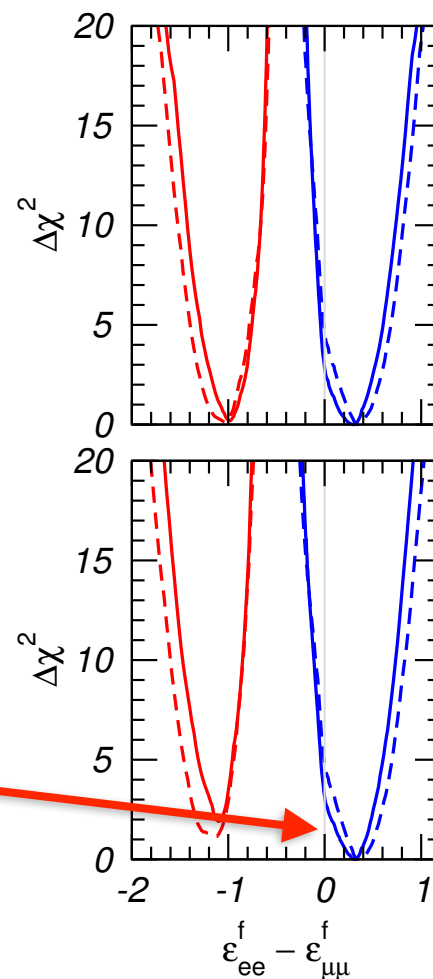
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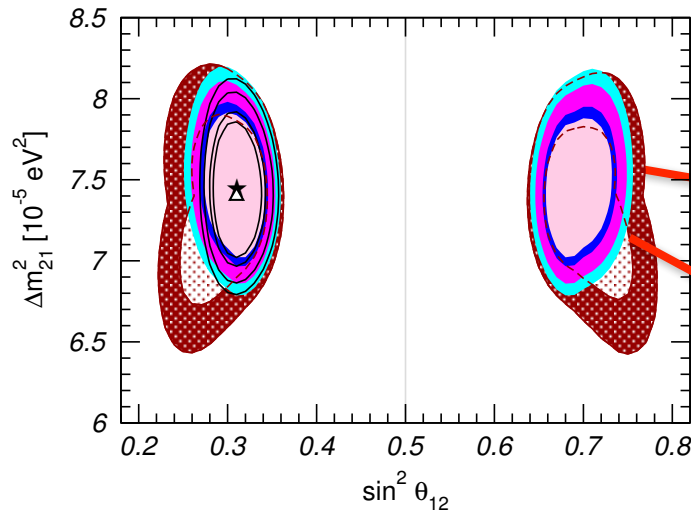


- slightly improved fit to solar neutrino data



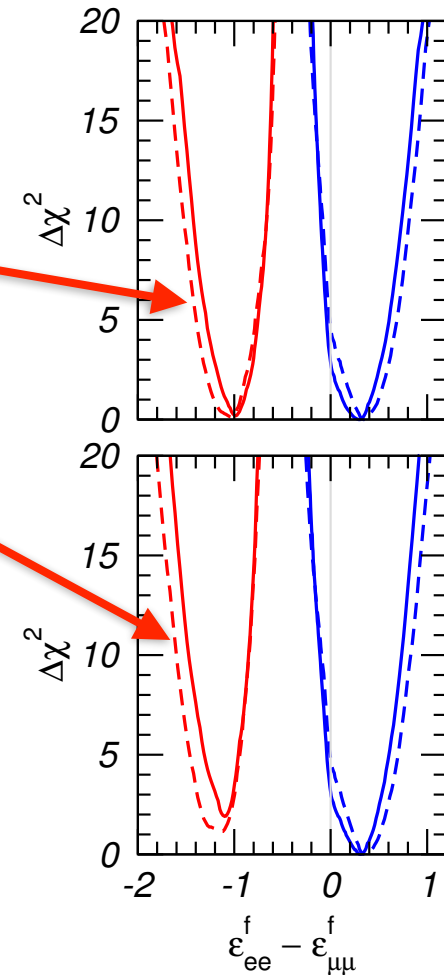
LMA-dark degeneracy

Gonzalez-Garcia, Maltoni, I 307.3092

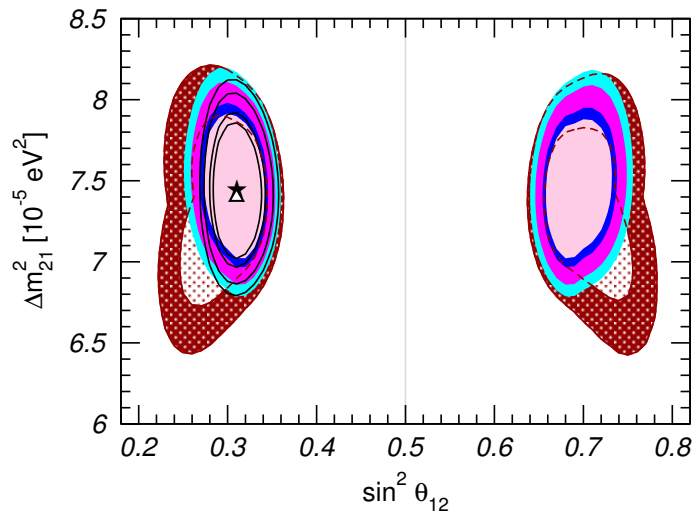


- degenerate solution with θ_{12} in the second octant: „LMA-dark“

Miranda, Tortola, Valle, hep-ph/0406280

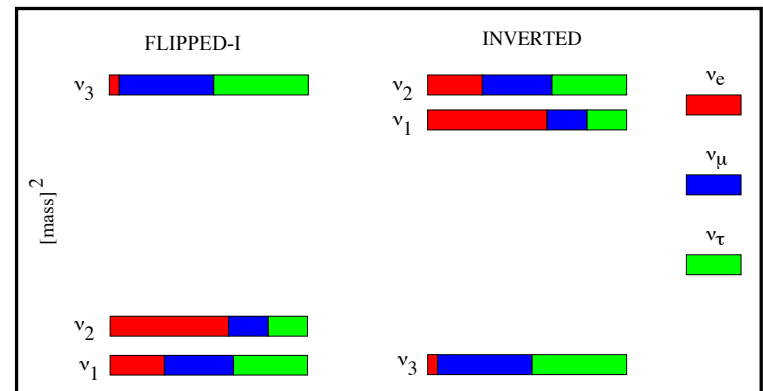
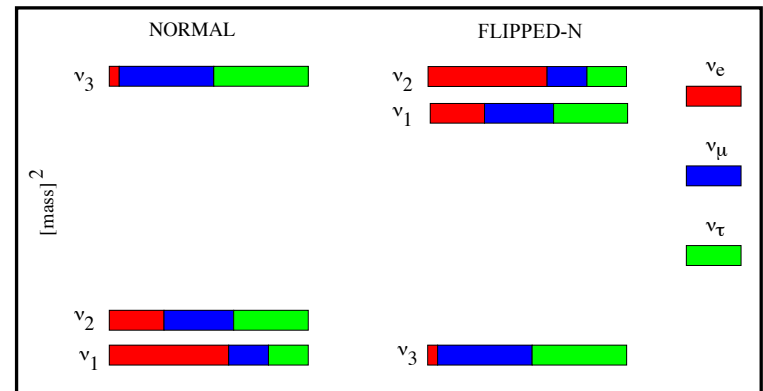


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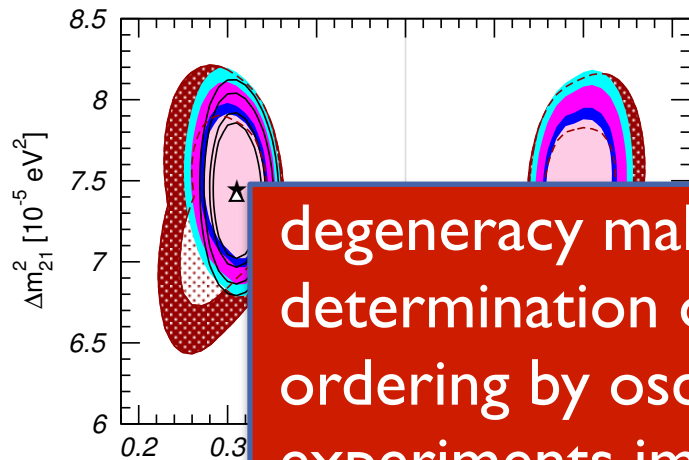
- degenerate solution with θ_{12} in the second octant: „LMA-dark“

- related to a sign-flip in Δm^2_{31}



Coloma, Schwetz, 16

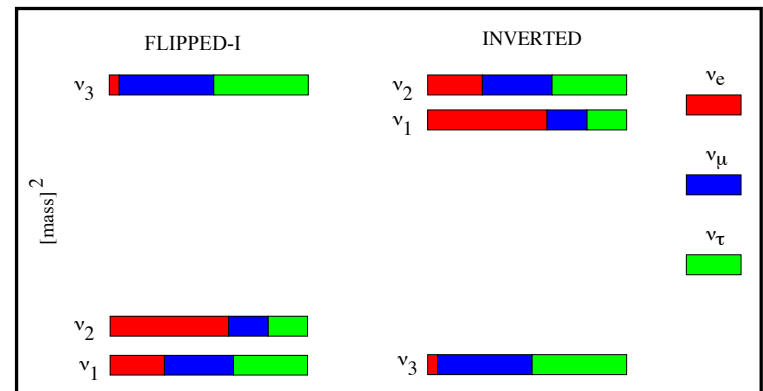
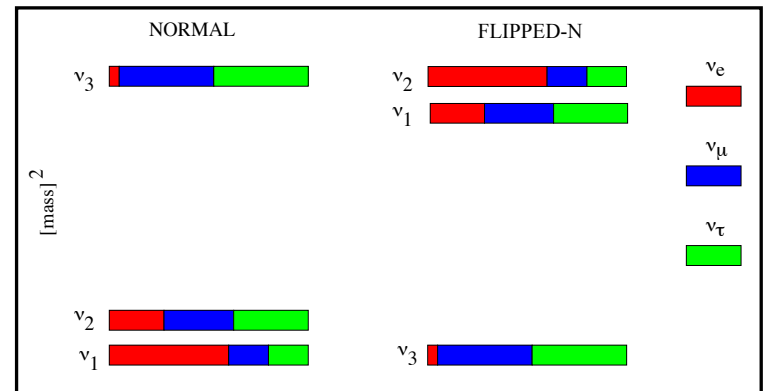
LMA-dark degeneracy



degeneracy makes determination of mass ordering by oscillation experiments impossible!

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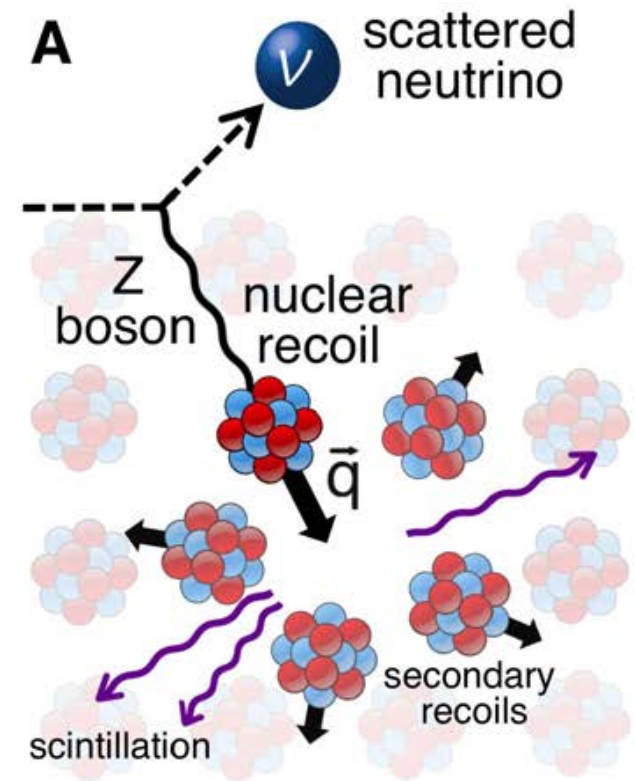


Coloma, Schwetz, 16

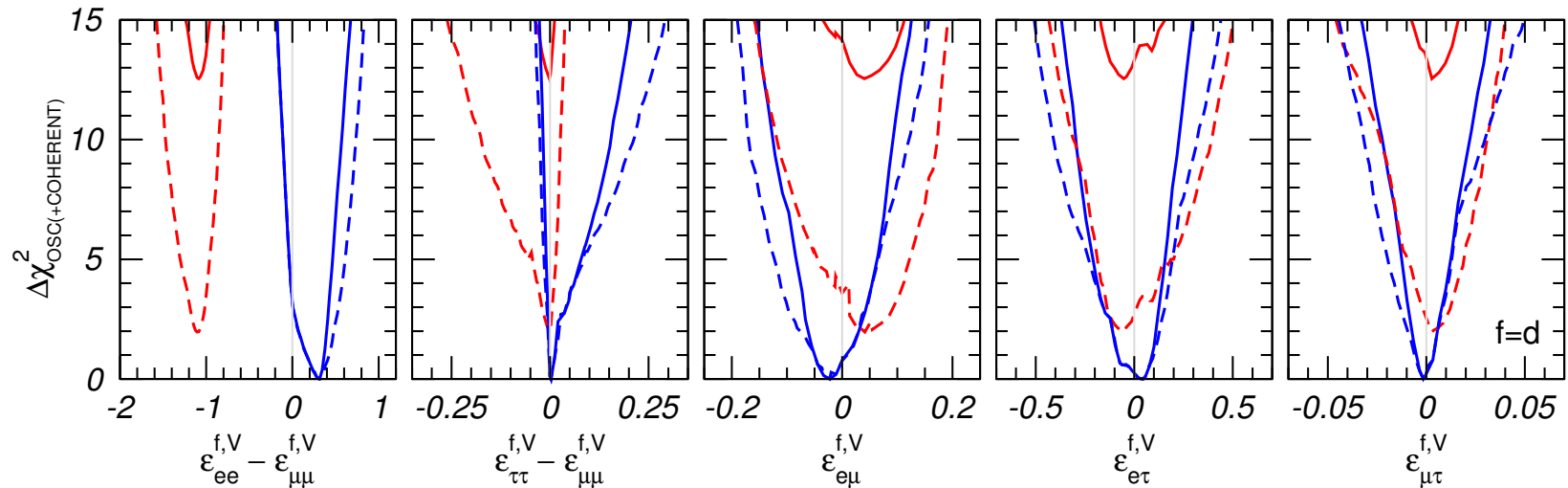
COHERENT results

Science 2017 [arXiv:1708.01294]

- observation of coherent neutrino-nucleus scattering at 6.7σ at CsI[Na] detector
- neutrinos from stopped pion source at Oak Ridge NL
- 142 events observed, in agreement with Standard Model

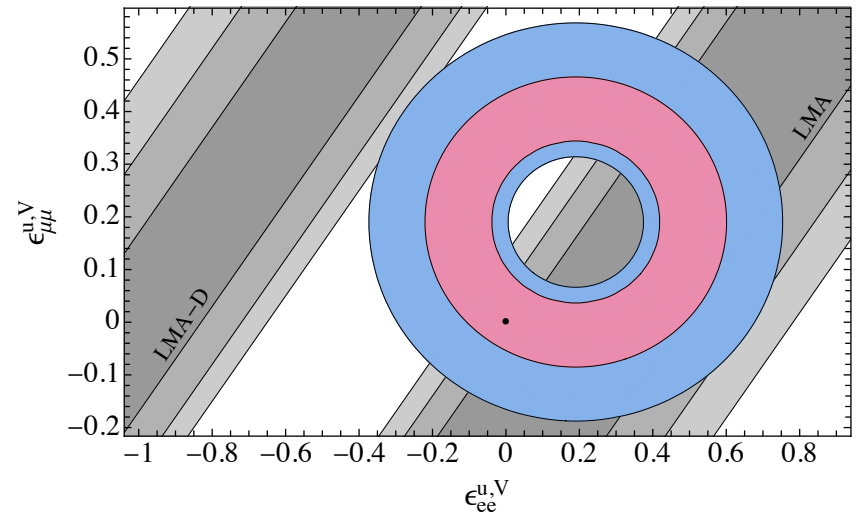


COHERENT results Science 2017 [arXiv:1708.01294]



- COHERENT data exclude LMA-D degeneracy at more than 3σ

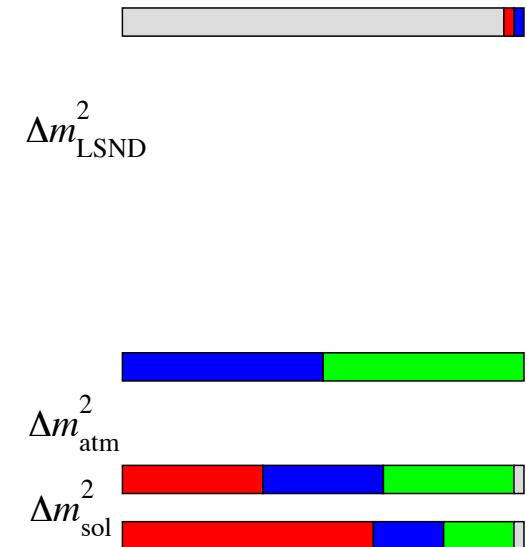
Coloma, Gonzalez-Garcia,
Maltoni, Schwetz 1708.02899



Hints for neutrino mass state at eV scale

few hints at $\sim 3\sigma$

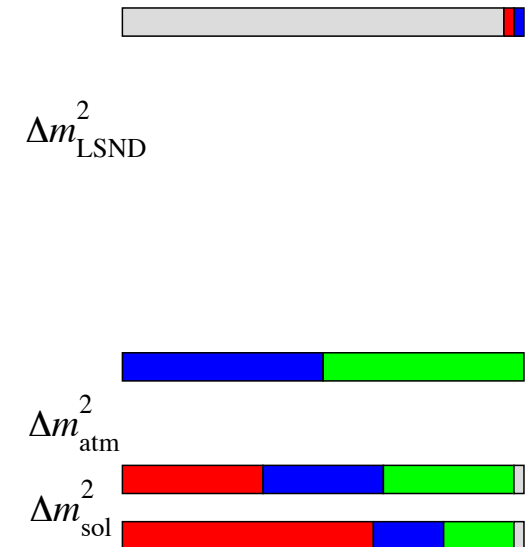
- ▶ reactor anomaly ($\bar{\nu}_e$ disappearance)
- ▶ Gallium anomaly ($\bar{\nu}_e$ disappearance)
- ▶ LSND ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)
- ▶ MiniBooNE
($\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)



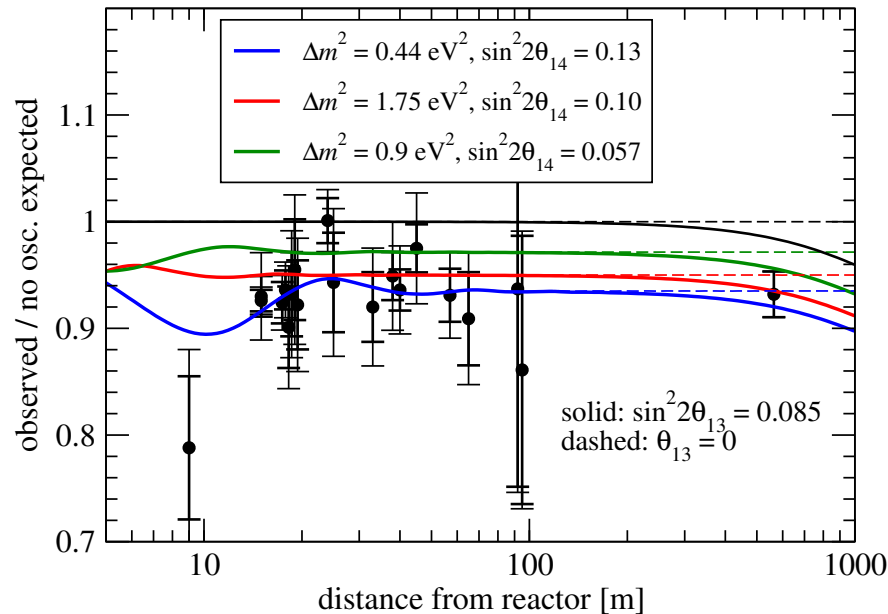
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- ▶ LSND ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)
- ▶ MiniBooNE
($\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)



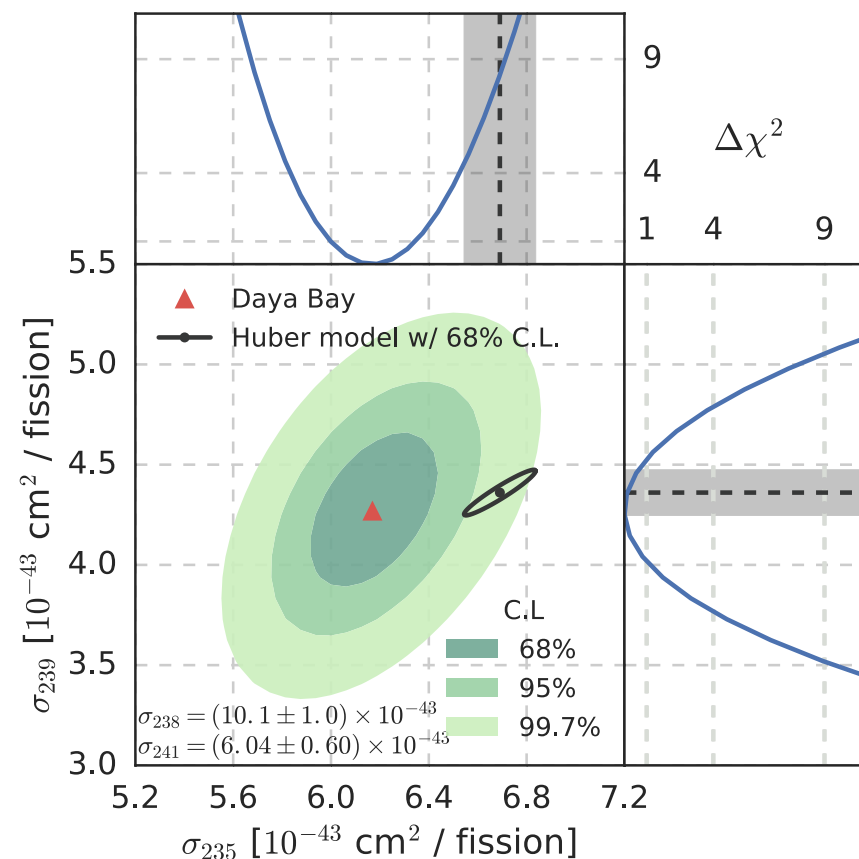
Reactor anomaly



- predicted neutrino fluxes from nuclear reactors are larger than observed
Huber II, Mueller et al II
- can be explained by oscillations at eV scale
- calculations depend on difficult nuclear physics

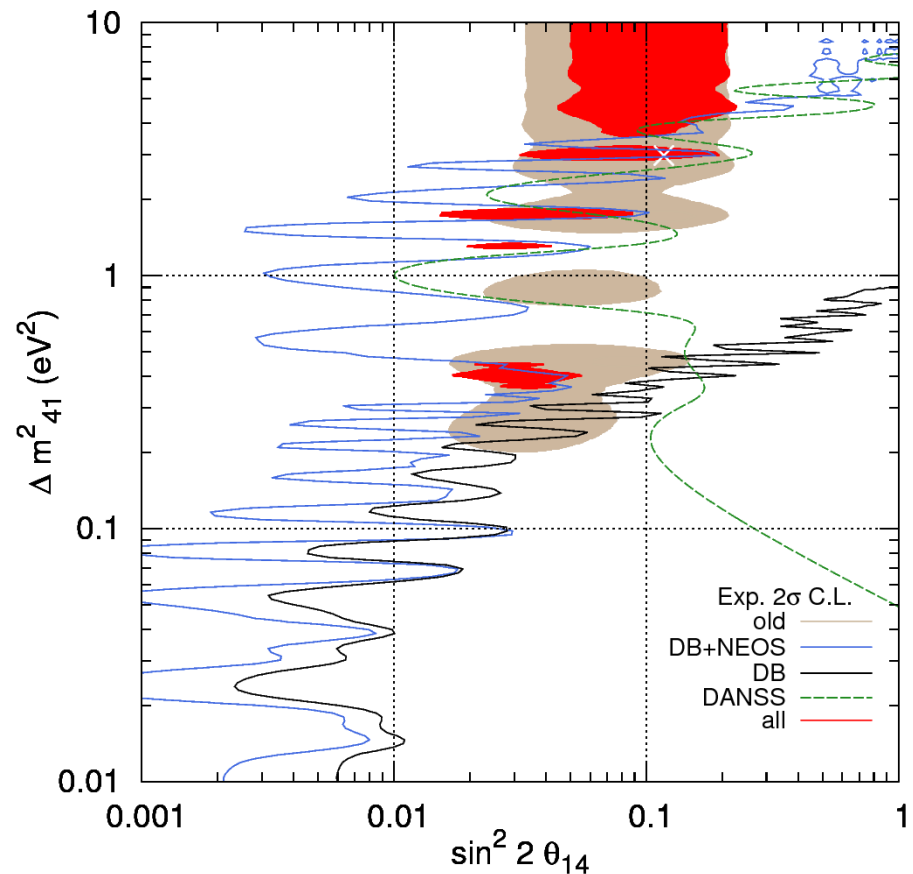
Recent developments on reactor anomaly

- **Daya Bay 17** determines flux of 4 leading isotopes by using time evolution of reactor fuel → $\sim 2.7\sigma$ hint for deficit dominated by ^{235}U (disfavour sterile neutrinos)
- But significance of this result goes down in global analysis of reactor data
Giunti, Ji, Laveder, Li, Littlejohn, 17;
Dentler, Hernandez, Kopp, Maltoni, Schwetz, in prep



Recent developments on reactor anomaly

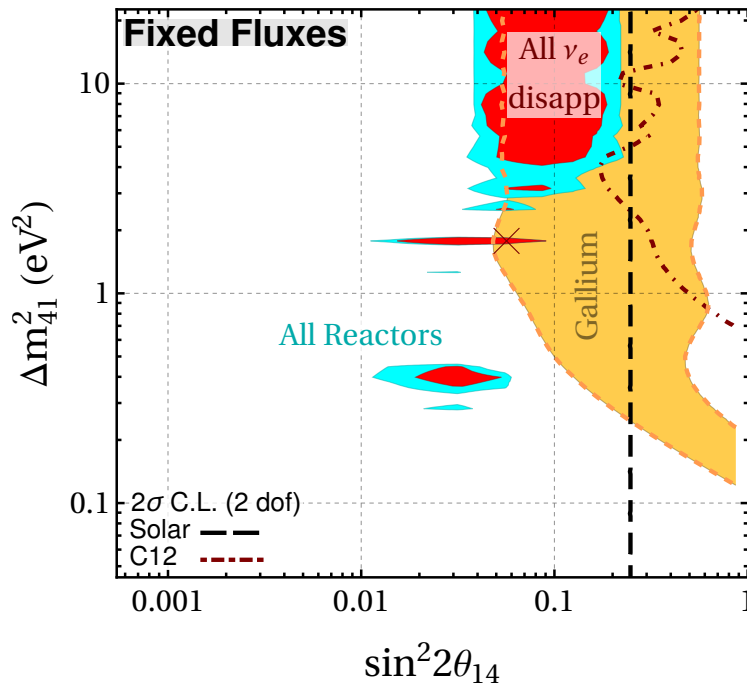
- first results from **NEOS** and **DANSS** cut into parameter region
- data show „wiggles“ consistent between NEOS and DANSS and the anomaly



Dentler, Hernandez, Kopp, Maltoni, Schwetz, in prep

Global data on ν_e disappearance

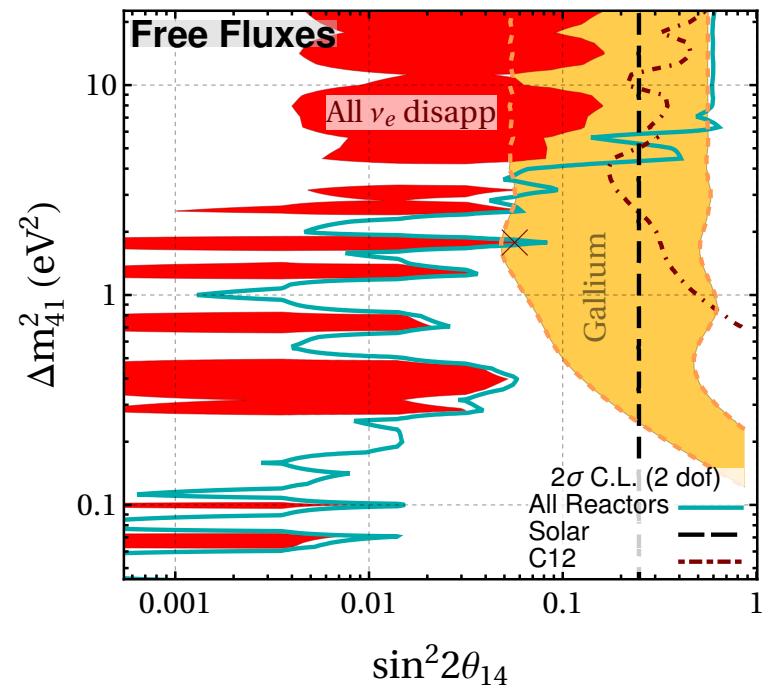
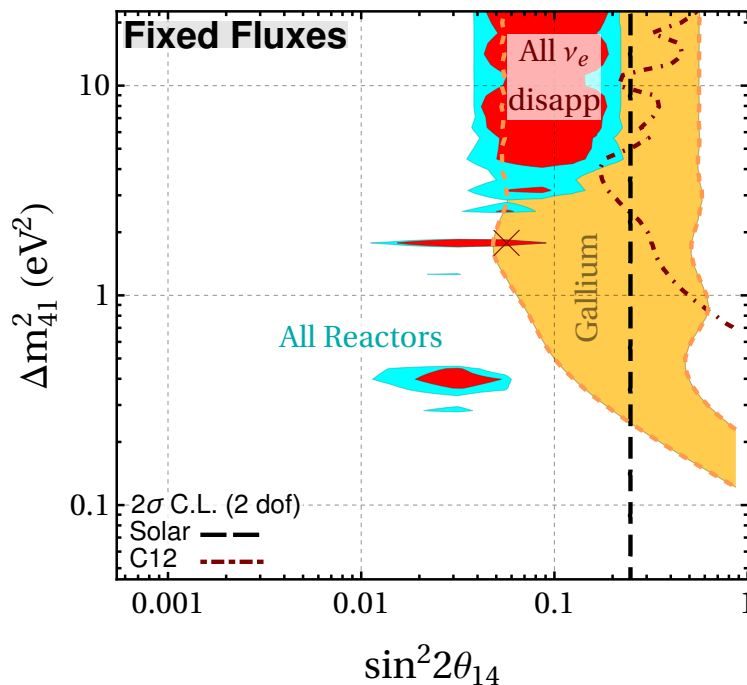
Dentler, Hernandez, Kopp, Maltoni, Schwetz, in prep



- significance at slightly below 3σ

Global data on ν_e disappearance

Dentler, Hernandez, Kopp, Maltoni, Schwetz, in prep

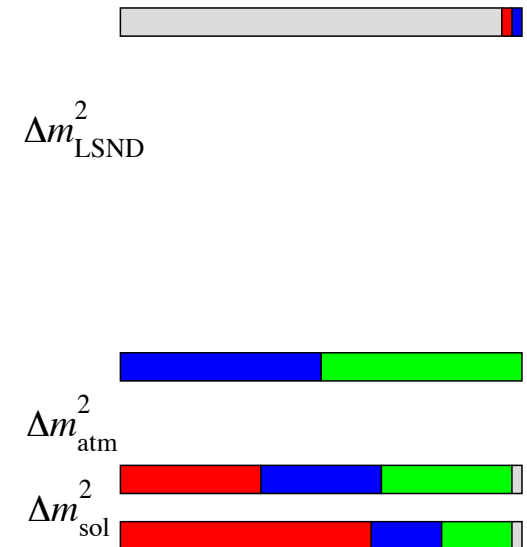


- significance at slightly below 3σ
- even for flux-free analysis hint remains at $\sim 2\sigma$

Hints for neutrino mass state at eV scale

few hints at $\sim 3\sigma$

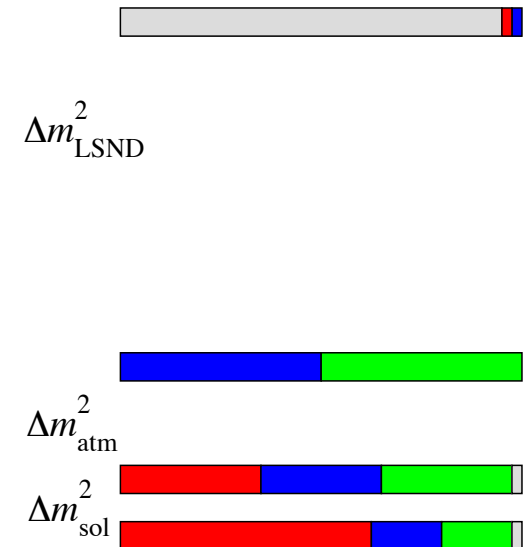
- ▶ reactor anomaly ($\bar{\nu}_e$ disappearance)
- ▶ Gallium anomaly ($\bar{\nu}_e$ disappearance)
- ▶ LSND ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)
- ▶ MiniBooNE
($\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)



Hints for neutrino mass state at eV scale

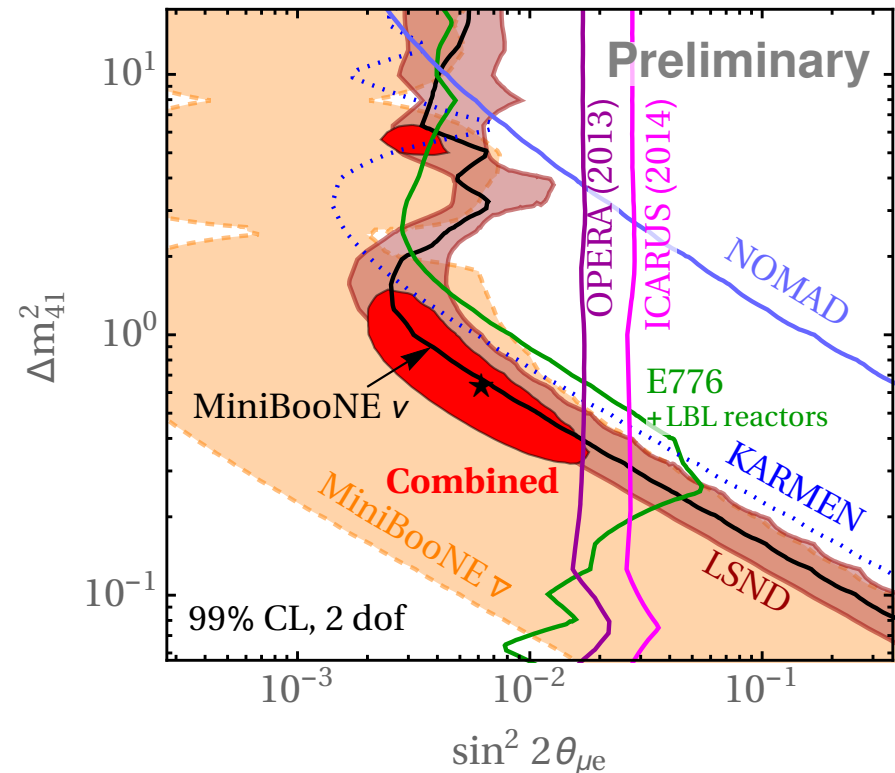
few hints at $\sim 3\sigma$

- ▶ reactor anomaly ($\bar{\nu}_e$ disappearance)
- ▶ Gallium anomaly ($\bar{\nu}_e$ disappearance)
- ▶ LSND ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)
- ▶ MiniBooNE
($\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)



Global data on appearance

- LSND signal at 3.8σ
- MB antineutrino excess (2.8σ) consistent with oscillations
- MB neutrino excess (3.4σ) marginally consistent with osc. (p-value 6.1%)



Dentler, et al, in prep

Fitting all together?

appearance

$$P_{\mu e} = \sin^2 2\theta_{\mu e} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\mu e} = 4|U_{e4}|^2|U_{\mu 4}|^2$$

disappearance ($\alpha = e, \mu$)

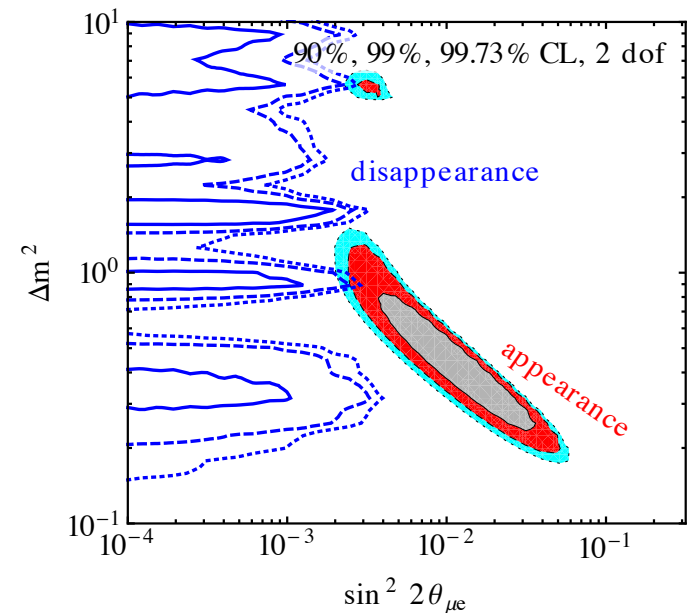
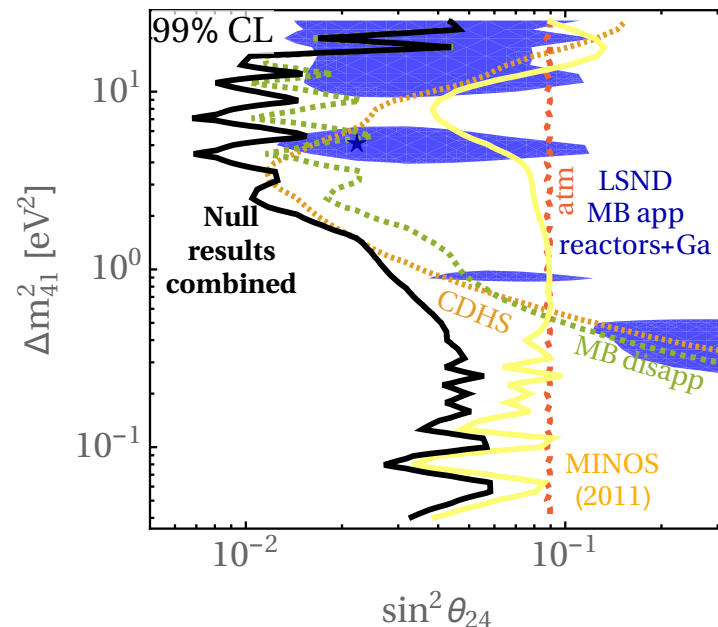
$$P_{\alpha\alpha} = 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\alpha\alpha} = 4|U_{\alpha 4}|^2(1 - |U_{\alpha 4}|^2)$$

$$\sin^2 2\theta_{\mu e} \approx \frac{1}{4} \sin^2 2\theta_{ee} \sin^2 2\theta_{\mu\mu}$$

$\nu_\mu \rightarrow \nu_e$ app. signal **requires** also signal in both, ν_e and ν_μ disappearance
(appearance mixing angle quadratically suppressed)

Fitting all together?

non-observation of ν_μ disappearance leads to tension between appearance and disappearance data

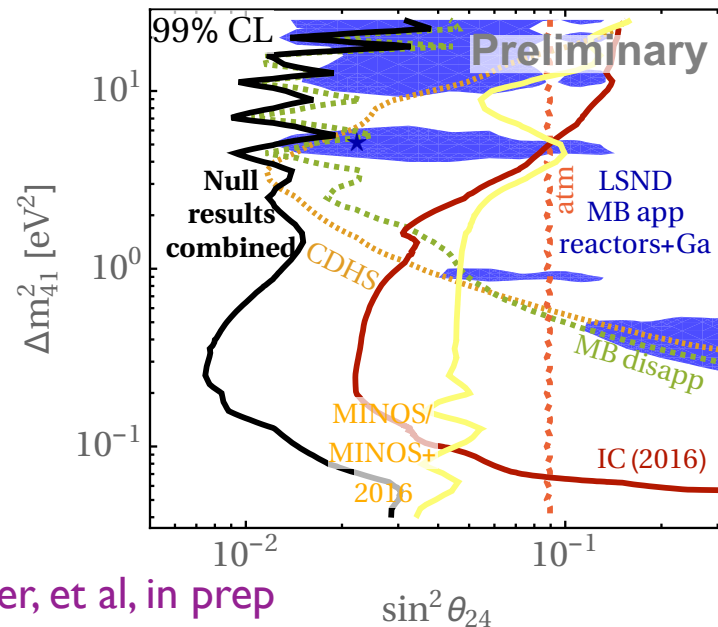


consistency of appearance vs disappearance $\chi^2_{\text{PG}} = 18/2$, $P \approx 10^{-4}$

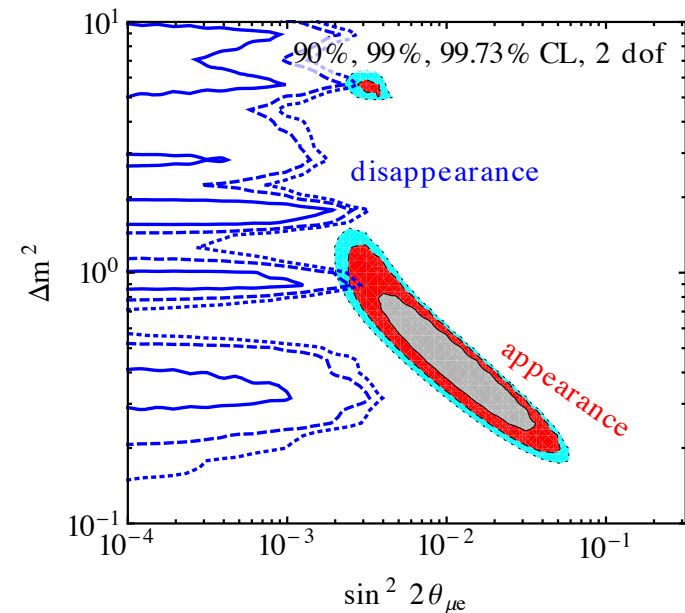
Kopp, Machado, Maltoni, Schwetz, 1303.3011

Fitting all together?

non-observation of ν_μ disappearance leads to tension between appearance and disappearance data



Dentler, et al, in prep



consistency of appearance vs disappearance $\chi^2_{\text{PG}} = 18/2$, $P \approx 10^{-4}$
tension is expected to become even more severe

Cosmology

3+1 SBL osc

PLANCK coll., 1502.01589

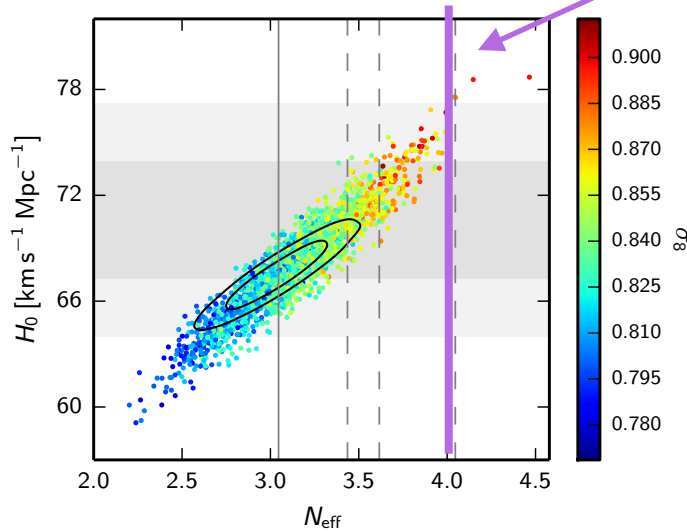


Fig. 31. Samples from *Planck* TT+lowP chains in the $N_{\text{eff}}-H_0$ plane, colour-coded by σ_8 . The grey bands show the constraint $H_0 = (70.6 \pm 3.3) \text{ km s}^{-1} \text{ Mpc}^{-1}$ of Eq. (30). Note that higher N_{eff} brings H_0 into better consistency with direct measurements, but increases σ_8 . Solid black contours show the constraints from *Planck* TT,TE,EE+lowP+BAO. Models with $N_{\text{eff}} < 3.046$ (left

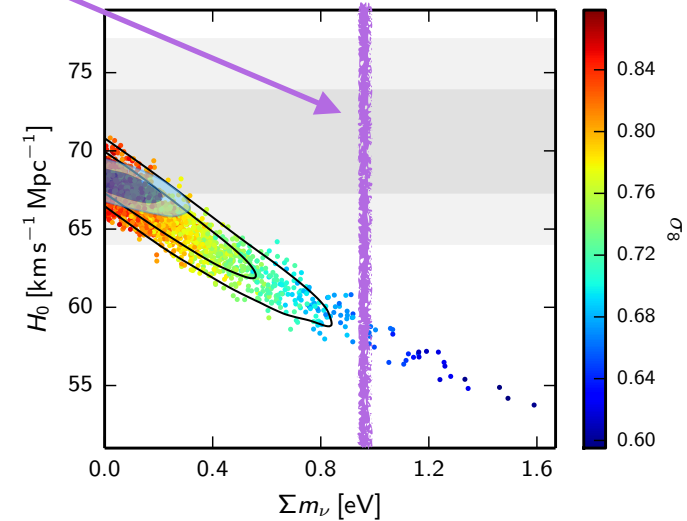


Fig. 29. Samples from the *Planck* TT+lowP posterior in the $\Sigma m_\nu-H_0$ plane, colour-coded by σ_8 . Higher Σm_ν damps the matter fluctuation amplitude σ_8 , but also decreases H_0 (grey bands show the direct measurement $H_0 = (70.6 \pm 3.3) \text{ km s}^{-1} \text{ Mpc}^{-1}$, Eq. 30). Solid black contours show the constraint from *Planck* TT+lowP+lensing (which mildly prefers larger masses), and filled contours show the constraints from *Planck* TT+lowP+lensing+BAO.

$$N_{\text{eff}} = 3.04 \pm 0.18 \text{ (68\% CL)}$$

Planck TT,TE,EE+lowP+BAO

$$\Sigma m_\nu < 0.23 \text{ eV (95\% CL)}$$

Planck+BAO+ H_0 +...

Cosmology

3+1 SBL osc

PLANCK coll., 1502.01589

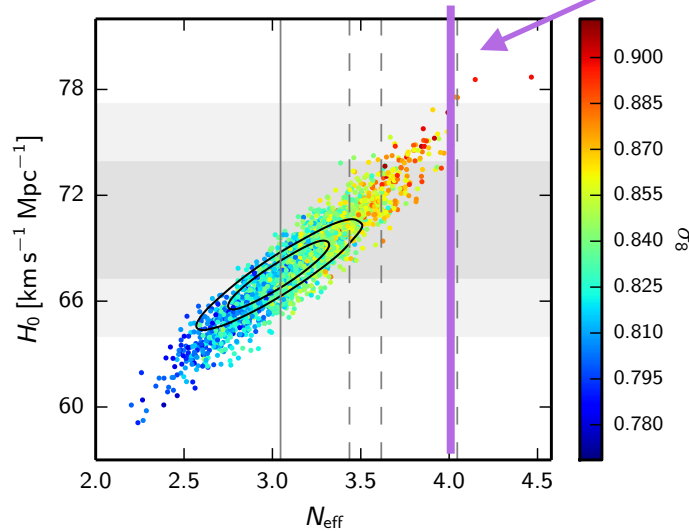


Fig. 31. Samples from *Planck* TT+lowP chains in the $N_{\text{eff}}-H_0$ plane, colour-coded by σ_8 . The grey bands show the constraint

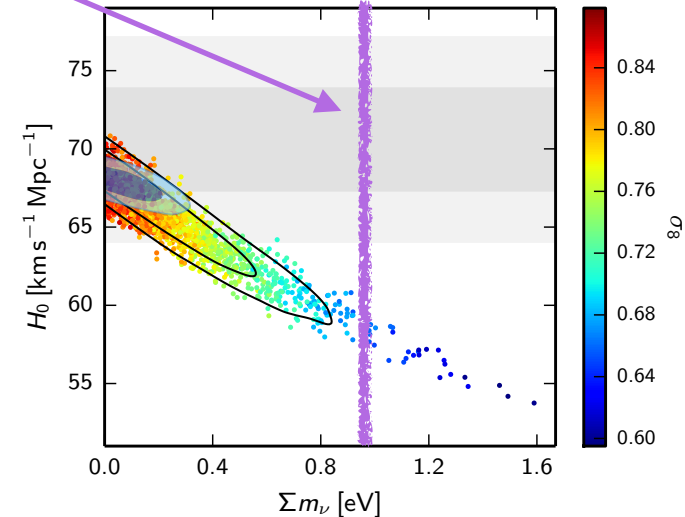


Fig. 29. Samples from the *Planck* TT+lowP posterior in the $\Sigma m_\nu-H_0$ plane, colour-coded by σ_8 . Higher Σm_ν damps the matter fluctuation amplitude σ_8 , but also decreases H_0 .

need to invoke mechanism to prevent equilibration of sterile neutrino (e.g., large L-asymmetry, large interactions in the dark sector)

$$N_{\text{eff}} = 3.04 \pm 0.18 \text{ (68\% CL)}$$

Planck TT,TE,EE+lowP+BAO

$$\Sigma m_\nu < 0.23 \text{ eV (95\% CL)}$$

Planck+BAO+ H_0 +...

Summary

- **3-flavour properties:**

CP phase: values of $\pi < \delta < 2\pi$ preferred over $0 < \delta < \pi$

CP conservation excluded at 2σ CL

hints for normal mass ordering emerging (not yet significant)

- **non-standard interactions:**

COHERENT result excludes LMA-dark degeneracy

limits at few % level (few exceptions depending on flavour)

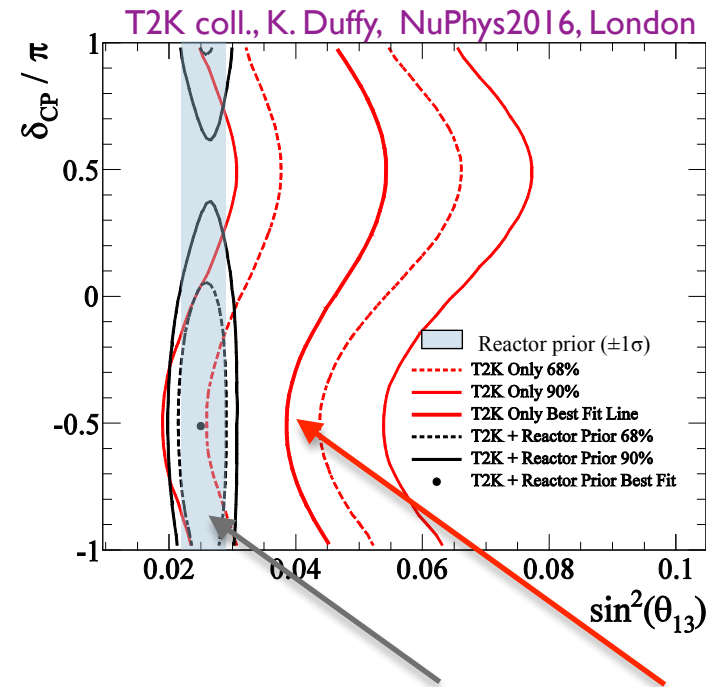
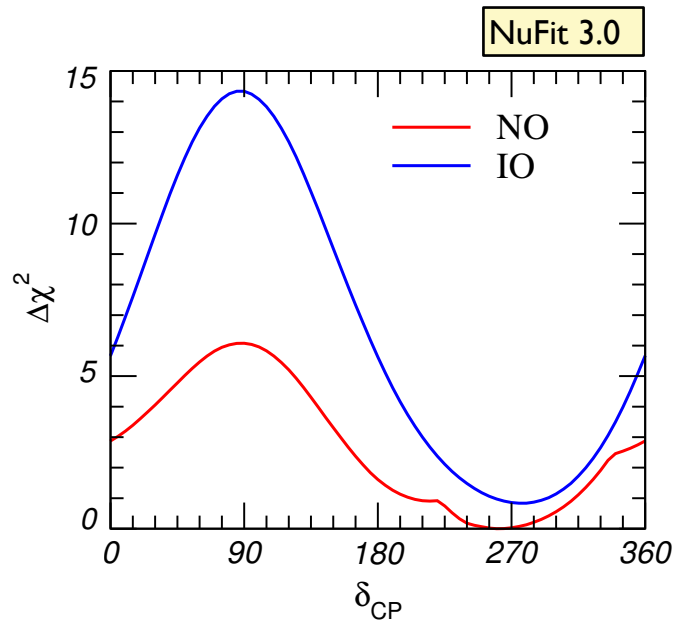
- **eV scale sterile neutrinos:**

reactor anomaly still around — progress expected soon

sterile neutrino explanation of LSND keeps getting worse

supplementary slides

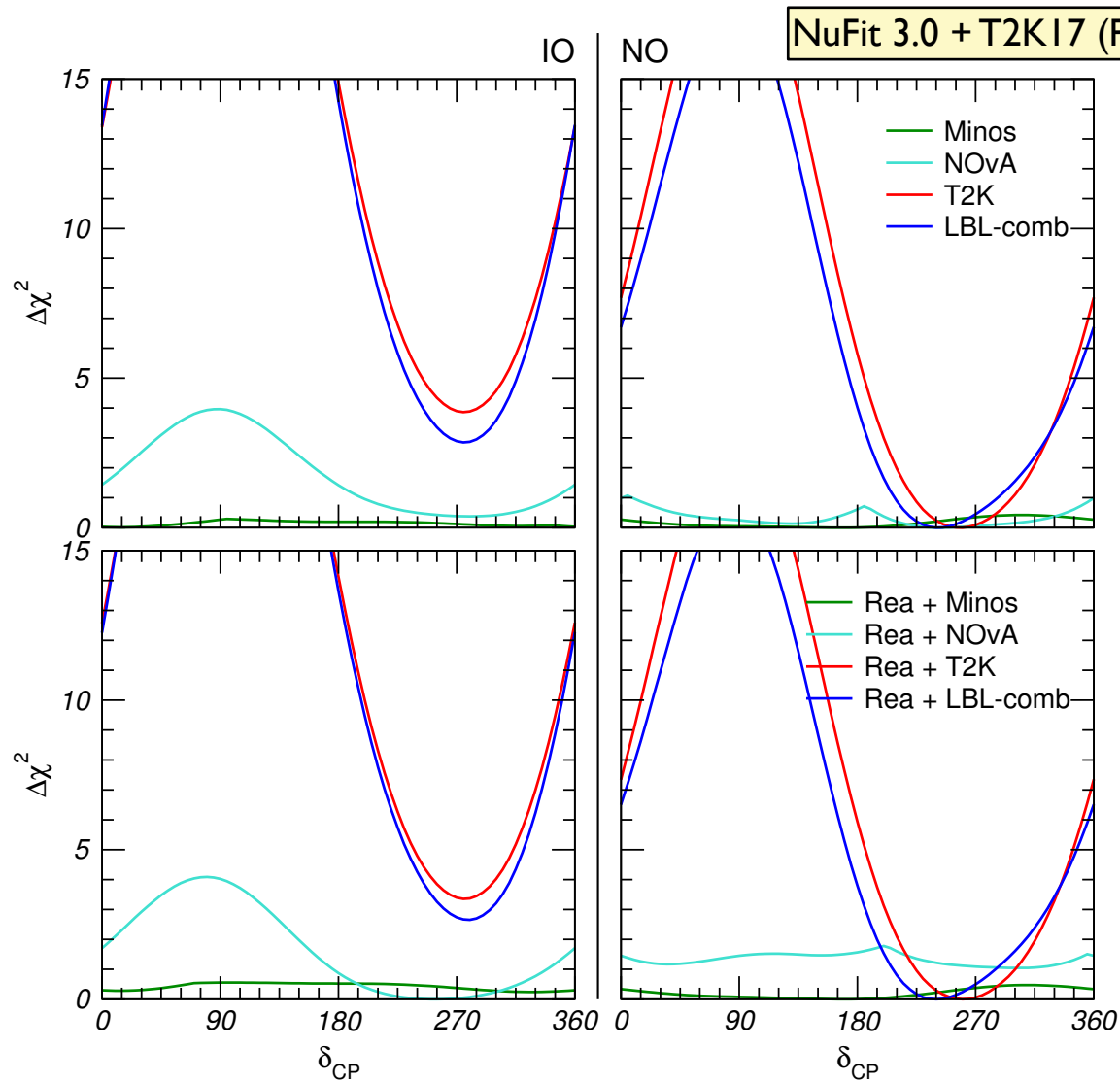
CP phase — 2016 data



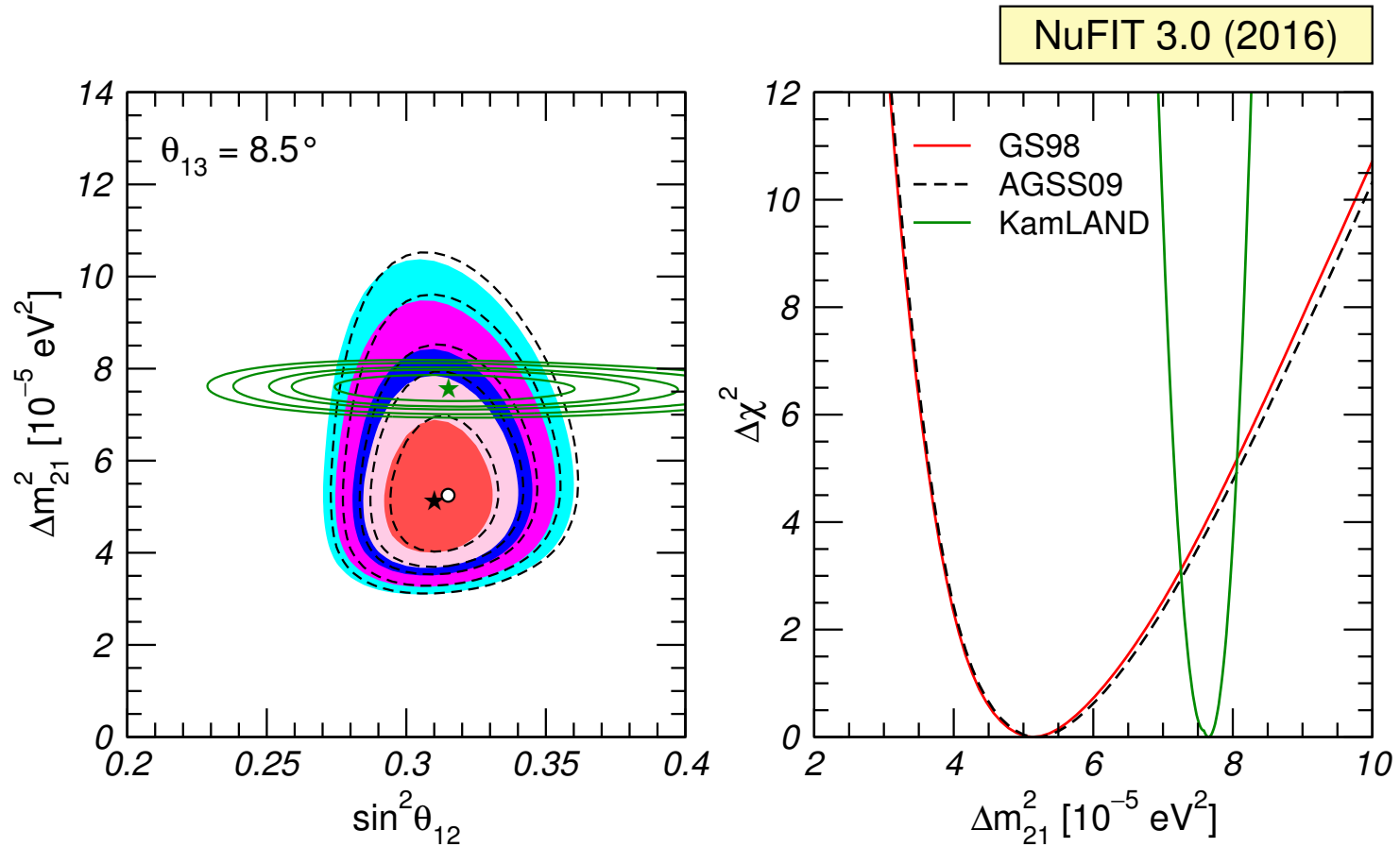
- best fit at $\delta_{CP} \approx 270^\circ$
- correlations with θ_{23}
- CP conservation allowed at 70% CL (NO), 97% CL (IO)
- $\delta_{CP} \approx 90^\circ$ disfavoured with $\Delta\chi^2 \approx 6$ (14) for NO (IO)

complementarity of reactor and accelerator

CP - MO contributions

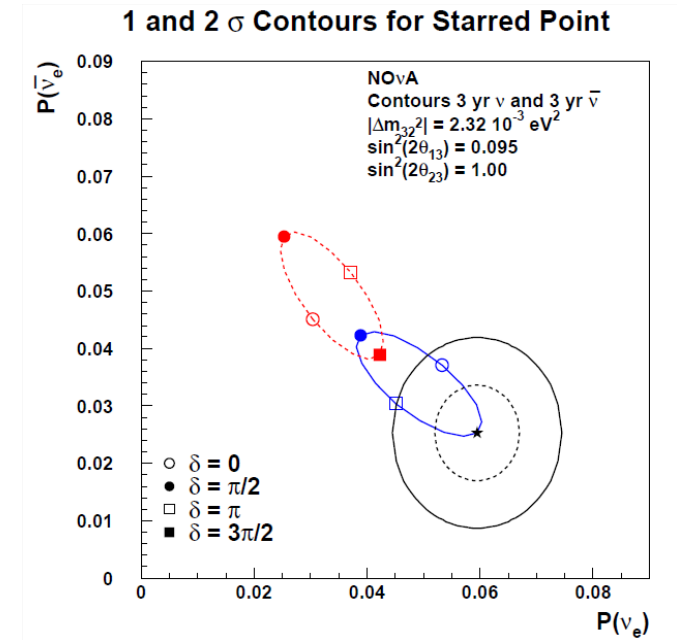
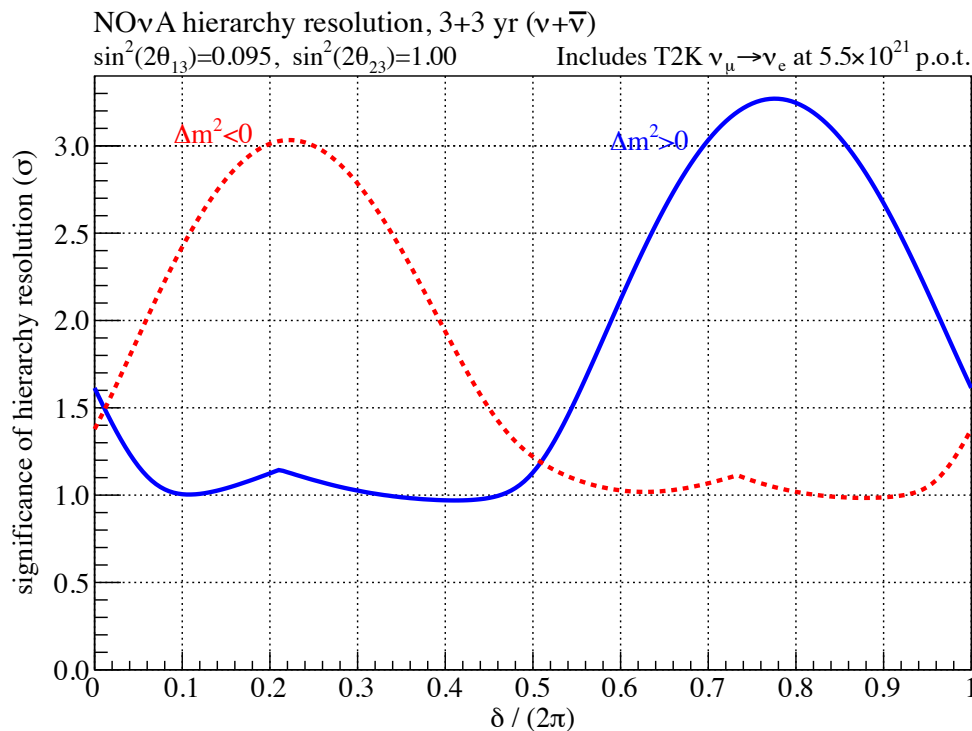


Minor tension between solar neutrinos and KamLAND



MO sensitivity of existing experiments

- strong dependence on true ordering and δ_{CP}
- 3σ possible for the most favourable combinations



http://www-nova.fnal.gov/plots_and_figures/plots_and_figures.html

MO - compilation of upcoming experiments

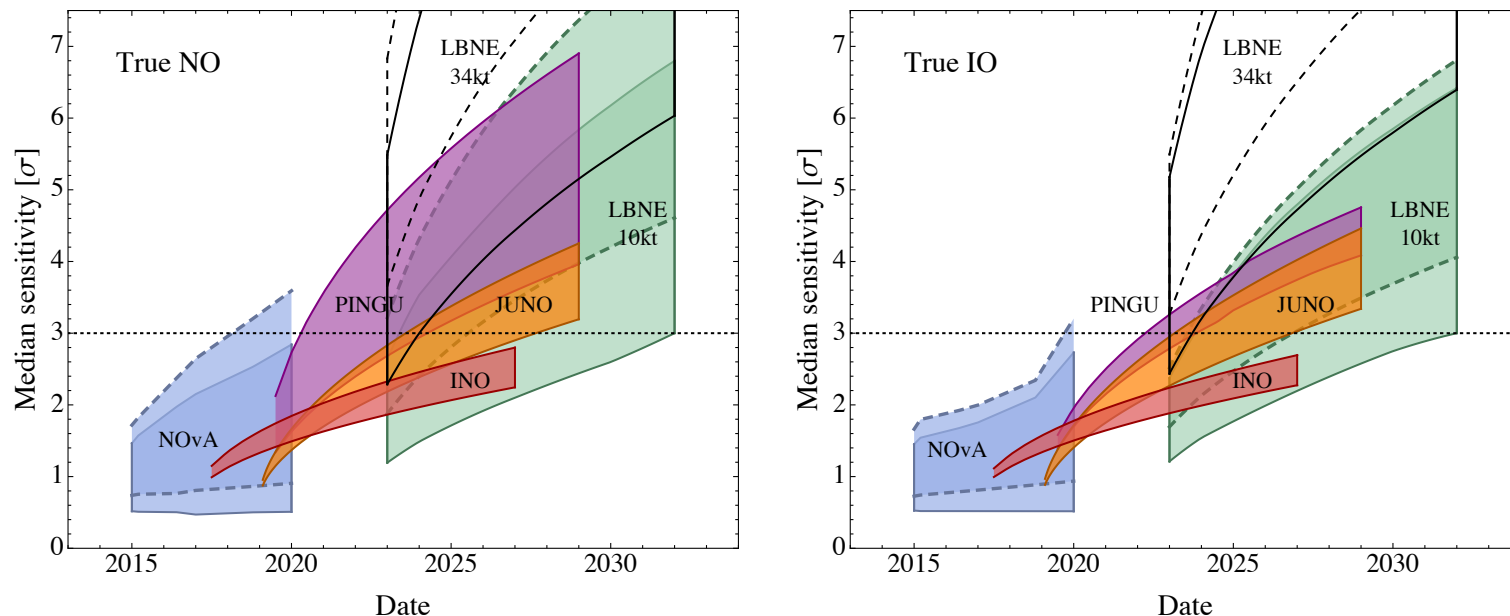


FIG. 12: The left (right) panel shows the median sensitivity in number of sigmas for rejecting the IO (NO) if the NO (IO) is true for different facilities as a function of the date. The width of the bands correspond to different true values of the CP phase δ for $\text{NO}\nu\text{A}$ and LBNE, different true values of θ_{23} between 40° and 50° for INO and PINGU, and energy resolution between $3\%\sqrt{1 \text{ MeV}/E}$ and $3.5\%\sqrt{1 \text{ MeV}/E}$ for JUNO. For the long baseline experiments, the bands with solid (dashed) contours correspond to a true value for θ_{23} of 40° (50°). In all cases, octant degeneracies are fully searched for.

[not shown: ORCA and HyperK (atm)]

Blennow, Coloma, Huber, TS, 1311.1822